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(11) Publication number : **0 601 907 A2**

(12)

## EUROPEAN PATENT APPLICATION

(21) Application number : **93402865.5**

(51) Int. Cl.<sup>5</sup> : **G06F 7/72**

(22) Date of filing : **26.11.93**

(30) Priority : **30.11.92 IL 10392192**  
**16.02.93 IL 10475393**  
**06.09.93 IL 10692393**

(43) Date of publication of application :  
**15.06.94 Bulletin 94/24**

(84) Designated Contracting States :  
**AT CH DE DK FR GB IT LI NL SE**

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(54) A compact microelectronic device for performing modular multiplication and exponentiation over large numbers.

(57) A compact synchronous microelectronic peripheral machine for standard microprocessors with means for proper clocking and control, has as essential elements : three main subdivided, switched and clocked shift registers, B, S, and N ; two only multiplexed serial/parallel multipliers ; borrow detectors, ancillary subtractors and adders ; delay registers and switching elements ; all of which embody a totally integrated concurrent and synchronous process approach to modular multiplication, squaring, and exponentiation. A method for carrying out modular multiplication, wherein the multiplicand A, the multiplier B and the modul, N, comprise m characters of k bits each, the multiplier not being greater than the modulus, is also described, wherein the multiplicand can be much larger than the modulus. It is demonstrated how the device can be used as a large number processor in the normal field of numbers.

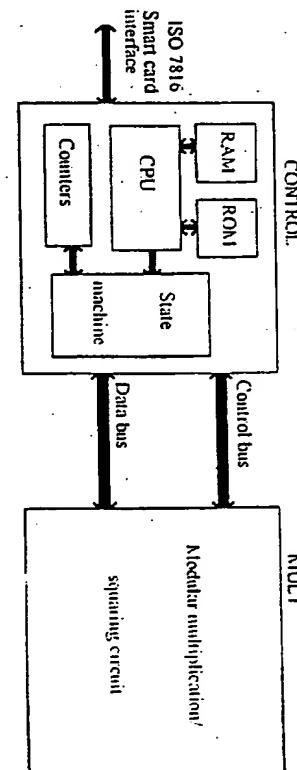


Fig. 1

The present invention relates to modular processing of large numbers in the Galois field of prime numbers and also of composite prime modules. More specifically, the invention relates to a device to implement modular multiplications/exponentiations of large numbers, which is suitable for performing the operations essential to Public Key Cryptographic authentication and encryption protocols, which cannot be executed with small microprocessors in reasonable processing time.

The present invention relates to the hardware implementation of a procedure known as "the interleaved Montgomery multiprecision modular multiplication method" often used in encryption software oriented systems. A unique original method is provided to accelerate modular exponentiation; and vital proofs are used to simplify the architecture and extend the use of the device to large number calculations in the normal field of numbers.

The basic process is one of the three published related methods for performing modular multiplication with Montgomery's methodology. [P. L. Montgomery, "Modular multiplication without trial division", *Mathematics of Computation*, vol. 44, pp. 519-521, 1985], hereinafter referred to as "Montgomery", [S.R. Dusse and B.S. Kaliski Jr., "A cryptographic library for the Motorola DSP 56000", *Proc Eurocrypt '90*, Springer-Verlag, Berlin, 1990] hereinafter referred to as "Dusse".

In this hardware implementation, security mechanisms and "on the fly" additions, subtractions, and moves have been added; processes whose total output might be irrelevant have been removed; a relatively easy to implement on silicon type of design has been invented and has been integrated to be appended to the internal data/address bus as a slave to virtually any 8, 16 or 32 bit Central Processing Unit (CPU).

Because of the simple synchronized shift design, the multiplying/squaring machine can run at clock speeds several times faster than speeds presently attainable with CPU's which support on board non-volatile memory devices. This method demands no design changes in the memory architecture of the CPU as prescribed by implementations using parallel multipliers and dual ported memories for fast modular multiplication of large numbers as in the Philips circuit. [Philips Components, "83C852, secured 8-bit microcontroller for conventional access applications", Eindhoven, August, 1990], hereinafter referred to as "Philips".

The essential architecture is of a machine that can be integrated to any microcontroller design, mapped into memory; while working in parallel with the controller which must constantly load commands and operands, then unload and transmit the final answer.

The unique solution uses only two serial/parallel multipliers, and a complete serial pipelined approach that saves silicon area. Using present popular technologies, it enables the integration of the complete solution including a microcontroller with memories onto a 4 by 4.5 by 0.2 mm microelectronic circuit that can meet the ISO 7816 standards. [International Organization for Standardization, "Identification cards - integrated circuit cards", ISO 7816:

Part 1 - ISO 7816-1, "Physical characteristics", 1987.

Part 2 - ISO 7816-2, "Dimensions of locations of contacts", 1988.

Part 3- ISO/IEC 7816-3, "Electronic signals & transmission protocols", 1989.] hereinafter referred to as "ISO 7816".

The invention is directed to the architecture of this solution, based on mathematical innovations, published by Montgomery, with several modifications and improvements and non-obvious methods are provided for reducing the time necessary for modular exponentiation to little more than half the time required using known processing and the Montgomery method.

### Definitions, General Principles and Methods

The invention will be illustrated in the description to follow, making use of the general principles and methods described below.

For modular multiplication in the prime and composite prime field of numbers, we define A and B to be the multiplicand and the multiplier, and N to be the module which is usually larger than A or B. N may in some instances be smaller than A. We define A, B, and N as  $m \cdot k = n$  bit long operands. Each k bit group will be called a character. Then A, B, and N are each m characters long. For ease in following the first implementation and in the step by step procedural explanation, assume that A, B, and N are 512 bits long, ( $n = 512$ ); assume that k is 32 bits long because of the present cost effective length of the multipliers; and m = 16 is the number of characters in an operand and also the number of iterations in a squaring or multiplying loop with a 512 bit operand. Obviously, all operands are integers.

We use the symbol,  $\equiv$ , to denote congruence of modular numbers, for example  $16 \equiv 2 \pmod{7}$ , and we say 16 is congruent to 2 modulo 7 as 2 is the remainder when 16 is divided by 7. When we write  $Y \pmod{N} \equiv X \pmod{N}$ ; both Y and X may be larger than N; however, for positive X and Y, the remainders will be identical. Note also that the congruence of a negative integer Y, is  $Y + uN$ , where N is the modulus, and if the congruence

of Y is to be less than N, u will be the smallest integer which will give a positive result.

We use the symbol,  $\not\equiv$ , to denote congruence in a more limited sense. During the processes described herein, a value is often either the desired value, or equal to the desired value plus the module. For example

X  $\not\equiv$  2 mod 7. X can be equal to 2 or 9. We say X has limited congruence to 2 mod 7.

When we write X = A mod N, we define X as the remainder of A divided by N; e.g., 3 = 45 mod 7.

In number theory the modular multiplicative inverse is a basic concept. For example, the modular multiplicative inverse of X is written as  $X^{-1}$ , which is defined by  $X \cdot X^{-1} \text{ mod } N = 1$ . If X = 3, and N = 13, then  $X^{-1} = 9$ , i.e., the remainder of 3-9 divided by 13 is 1.

The acronyms MS and LS are used to signify most significant and least significant when referencing bits, characters, and full operand values.

Throughout this specification N designates both the value N, and the name of the shift register which contains N. A and N are constant values throughout an entire exponentiation. A is the value of the number which is to be exponentiated. During the first iteration of an exponentiation, B is equal to A. B is also the name of the register wherein the accumulated value which finally equals the desired result of exponentiation resides.

S designates a temporary value, and also the register in which the  $\not\equiv$  of S is stored. S(i-1) denotes the value of S at the outset of the i'th iteration;  $S_0$  denotes the LS character of an S(i)'th value.

We refer to the process, (defined later)  $P(A \cdot B)_N$  as multiplication in the  $P$  field, or sometimes, simply, a multiplication operation.

Other symbols are those conventionally used in the arithmetics.

### Montgomery Modular Multiplication

In a classic approach for calculating a modular multiplication,  $A \cdot B \text{ mod } N$ , the remainder of the product A·B is calculated by a division process. Implementing a division operation is more difficult to perform than a multiplication operation.

By using Montgomery's modular reduction method, the division is essentially replaced by multiplications using precalculated constants.

The Montgomery function  $P(A \cdot B)_N$  performs a multiplication modulo N of the A·B product into the  $P$  field. The retrieval from the  $P$  field back into the normal modular field is performed by enacting  $P$  on the result of  $P(A \cdot B)_N$  and a precalculated constant H. Now, if  $P = P(A \cdot B)_N$ , then  $P(P \cdot H)_N = A \cdot B \text{ mod } N$ ; thereby performing a normal modular multiplication in two  $P$  field multiplications.

The intention of efficient modular reduction methods is to avert a series of multiplication and division operations on operands that are n and 2n bits long, by performing a series of multiplications, additions, and subtractions on operands that are n bits long, and that yield a final result that is a maximum of n bits long. In order to illustrate the Montgomery precept, we observe that for given A, B and odd N (these odd modules are always either simple or a composite of large primes), there is always a Q, such that  $A \cdot B + Q \cdot N$  will result in a number whose n LS bits are zero, or:

$$P \cdot 2^n = A \cdot B + Q \cdot N$$

This means that we have an expression 2n bits long, whose n LS bits are zero.

Now, let  $I \cdot 2^n \equiv 1 \text{ mod } N$  (I exists for all odd N). Multiplying both sides of the previous equation by I yields the following congruences:  
from the left side of the equation:

$$P \cdot I \cdot 2^n \equiv N; \text{ (Remember that } I \cdot 2^n \equiv 1 \text{ mod } N\text{)}$$

and from the right side:

$$A \cdot B \cdot I + Q \cdot N \cdot I \equiv A \cdot B \cdot I \text{ mod } N; \text{ (Remember that } Q \cdot N \cdot I \equiv 0 \text{ mod } N\text{)}$$

therefore:

$$P \equiv A \cdot B \cdot I \text{ mod } N.$$

Unfortunately, this also means that a parasitic factor I is introduced each time a  $P$  field multiplication is performed.

We defin the  $P$  operator such that:

$$P \equiv A \cdot B \cdot I \text{ mod } N \equiv P(A \cdot B)_N.$$

and we call this "multiplication of A times B in the  $\rho$  field".

The retrieval from the  $\rho$  field is calculated by operating  $\rho$  on P-H, making:

$$\rho(P \cdot H)_N \equiv A \cdot B \bmod N;$$

We can derive the value of H by substituting P in the previous congruence. We find:

$$\rho(P \cdot H)_N \equiv (A \cdot B \cdot I) \cdot (H) \cdot (I) \bmod N;$$

(see that  $A \cdot B \cdot I \leftarrow P$ ;  $H \leftarrow H$ ;  $I \leftarrow$  and any multiplication operation introduces a parasitic I)

If H is congruent to the multiple inverse of  $I^2$  then the congruence is valid, therefore:

$$H = I^{-2} \bmod N \equiv 2^{2n} \bmod N$$

(H is a function of N and we call it the H parameter)

To enact the  $\rho$  operator on A-B we pursue the following process, using the precalculated constant J:

1)  $X = A \cdot B$

15 2)  $Y = (X \cdot J) \bmod 2^n$  (only the n LS bits are necessary)

3)  $Z = X + Y \cdot N$

4)  $S = Z / 2^n$  (The requirement on J is that it forces Z to be divisible by  $2^n$ )

5)  $P \not\equiv S \bmod N$  ( $N$  is to be subtracted from  $S$ , if  $S \geq N$ )

Finally, at step 5):

$$P \not\equiv \rho(A \cdot B)_N,$$

[After the subtraction of  $N$ , if necessary]

$$P = \rho(A \cdot B)_N.$$

Following the above:

25  $Y = A \cdot B \cdot J \bmod 2^n$  (using only the n LS bits);

and:

$$Z = A \cdot B + (A \cdot B \cdot J \bmod 2^n) \cdot N.$$

In order that  $Z$  be divisible by  $2^n$  (the n LS bits of  $Z$  must be zero) the following congruence must exist:

$$[A \cdot B + (A \cdot B \cdot J \bmod 2^n) \cdot N] \bmod 2^n \equiv 0$$

30 In order that this congruence will exist,  $N \cdot J \bmod 2^n$  must be congruent to -1 or:

$$J \equiv -N^{-1} \bmod 2^n.$$

and we have found the constant J.

J, therefore, is a precalculated constant which is a function of N only, and, obviously, we must always choose that positive J which is smaller than N.

35 Therefore, as will be apparent to the skilled person, the process shown employs three multiplications, one summation, and a maximum of one subtraction, for the given A, B, N, and a precalculated constant; we obtain

$\rho(A \cdot B)_N$ . Using this result, the same process and a precalculated constant, H, (a function of the module N) we are able to find  $A \cdot B \bmod N$ . As A can be equal to B, this operator can be used as a device to square or multiply in the modular arithmetic.

### Interleaved Montgomery Modular Multiplication

In the previous section there was shown a method for modular multiplication which demanded multiplications of operands which were all n bits long, and results which required  $2n + 1$  bits of storage space.

45 Using Montgomery's interleaved reduction (as described in the aforementioned paper by Dusse), it is possible to perform the multiplication operations with shorter operands, registers, and hardware multipliers; enabling the implementation of an electronic device with relatively few logic gates.

Using a k bit multiplier, it is convenient to define characters of k bit length; there are m characters in n; i.e.,  $m \cdot k = n$ .

50  $J_0$  will be the LS character of J.

Therefore:

$$J_0 \equiv -N_0^{-1} \bmod 2^k \quad (J_0 \text{ exists as } N \text{ is odd}).$$

Then, using Montgomery's interleaved reduction,  $\rho(A \cdot B)_N$  is enacted in m iterations with the following initial condition, pursuing steps (1) to (5). The circuit of the invention follows these steps in a concurrent fashion.

Initially  $S(0) = 0$  (the  $\not\equiv$  value of S at the outset of the first iteration).

For  $i = 1, 2, \dots, m$ :

(1)  $X = S(i-1) + A_{i-1}B$  ( $A_{i-1}$  is the  $i-1$  th character of  $A$ ;  $S(i-1)$  is the value of  $S$  at the outset of the  $i$ th iteration.)

(2)  $Y_0 = X_0 \cdot J_0 \bmod 2^k$  (The LS  $k$  bits of the product of  $X_0 \cdot J_0$ )

5 (The process uses and calculates the  $k$  LS bits only, e.g., the least significant 32 bits)

(3)  $Z = X + Y_0 \cdot N$

(4)  $S(i) = Z/2^k$  (The  $k$  LS bits of  $Z$  are always 0, therefore  $Z$  is always divisible by  $2^k$ . This division is tantamount to a  $k$  bit right shift as the LS  $k$  bits of  $Z$  are all zeros; or as will be seen in the circuit, the LS  $k$  bits of  $Z$  are simply disregarded.)

10 (5)  $S(i) = S(i) \bmod N$  ( $N$  is to be subtracted from those  $S(i)$ 's which are larger than  $N$ ).

Finally, at the last iteration (after the subtraction of  $N$ , when necessary),  $C = S(m) = \rho(A \cdot B)_N$ . To derive  $F = A \cdot B \bmod N$ , we must perform the  $\rho$  field calculation,  $\rho(C \cdot H)_N$ .

Now, we prove that for all  $S(i)$ 's,  $S(i)$  is smaller than  $2N$  (not included in Montgomery's proof.)

We observe that for operands which are used in the process:

$$15 S(i-1) < N; B < N \text{ and } A_{i-1} < 2^k.$$

(The first two inequalities hold, as at the outset of an iteration  $N$  is subtracted from  $S(i-1)$  and  $B$ , when they were either larger than or equal to  $N$ . The third inequality holds as  $2^k$  is a  $k+1$  bit long number whose MS bit is "1", while  $A_{i-1}$  is a  $k$  bit long operand.)

By definition:

20  $S(i) = Z/2^k$  (The value of  $S$  at the end of the process, before a possible subtraction)

Substituting in the above set of equations:

$$Z = S(i-1) + A_{i-1} \cdot B + (X_0 \cdot J_0 \bmod 2^k)N$$

Note that taking the maximum value of each element in the previous equation we have the inequality on  $Z$ :

$$25 Z < (N-1) + (2^k - 1)(N-1) + (2^k - 1)N = 2^kN + 2^kN - N - 2^k$$

and then certainly:

$$Z < 2^kN + 2^kN.$$

Now, dividing both sides of the inequality by  $2^k$ :

$$Z/2^k < N + N,$$

and we have proved that one subtraction of  $N$  is all that may ever be necessary to rectify an  $S(i)$  or a  $B$ .

### Example 1

#### an interleaved modular multiplication:

35 The following calculations can easily be verified with a hand calculator which has a hexadecimal mode. Using the hexadecimal format, assume:

$N = a59$ , (the modulus),  $A = 99b$ , (the multiplier),  $B = 5c3$  (the multiplicand),  $n = 12$ , (the bit length of  $N$ ),  $k = 4$ , (the size in bits of the multiplier and also the size of a character), and  $m = 3$ , as  $n = k \cdot m$ .

$J_0 = 7$  as  $7 \cdot 9 \equiv -1 \pmod{16}$  and  $H = 2^{2 \cdot 12} \bmod a59 = 44b$ .

40 The expected result is  $F = A \cdot B \bmod N = 99b \cdot 5c3 \bmod a59 = 375811 \bmod a59 = 220_{16}$ .

Initially:  $S(0) = 0$

Step 1  $X = S(0) + A_0 \cdot B = 0 + b \cdot 5c3 = 3f61$

$$Y_0 = X_0 \cdot J_0 \bmod 2^k = 7$$

$$Z = X + Y_0 \cdot N = 3f61 + 7 \cdot a59 = 87d0$$

45  $S(1) = Z/2^k = 87d$  (which is smaller than  $N$ )

Step 2  $X = S(1) + A_1 \cdot B = 87d + 9 \cdot 5c3 = 3c58$

$$Y_0 = X_0 \cdot J_0 \bmod 2^k = 8 \cdot 7 \bmod 2^4 = 8$$

$$Z = X + Y_0 \cdot N = 3c58 + 52c8 = 8f20$$

50  $S(2) = Z/2^k = 8f2$  (which is smaller than  $N$ )

Step 3  $X = S(2) + A_2 \cdot B = 8f2 + 9 \cdot 5c3 = 3cc0$

$$Y_0 = d \cdot 7 \bmod 2^4 = b$$

$$Z = X + Y_0 \cdot N = 3cc0 + b \cdot a59 = aea0$$

55  $S(3) = Z/2^k = aea$ , as  $S(3) > N$ ,

$S(3) = aea - a59 = 91$

Therefore  $C = \rho(A \cdot B)_N = 91_{16}$ .

Retrieval from the  $\rho$  field is performed by calculating  $\rho(C \cdot H)_N$ :

Again Initially:  $S(0) = 0$

Step 1  $X = S(0) + C_0 \cdot H = 0 + 1 \cdot 44b = 44b$

$Y_0 = d$

$Z = X + Y_0 \cdot N = 44b + 8685 = 8ad0$

5  $S(1) = Z/2^k = 8ad$

Step 2  $X = S(1) + C_1 \cdot H = 8ad + 9 \cdot 44b = 2f50$

$Y_0 = 0$

$Z = X + Y_0 \cdot N = 2f50 + 0 = 2f50$

$S(2) = Z/2^k = 2f5$

10 Step 3  $X = S(2) + C_2 \cdot H = 2f5 + 0 \cdot 44b = 2f5$

$Y_0 = 3$

$Z = X + Y_0 \cdot N = 2f5 + 3 \cdot a59 = 2200$

$S(3) = Z/2^k = 220_{16}$

which is the expected value of  $99b\ 5c3 \text{ mod } a59$ .

15 The validity of the operation can be understood intuitively, when we realize that if at each step we disregard  $k$  LS zeros, we are in essence multiplying the  $n$  MS bits by  $2^k$ . Likewise, at each step, the  $i$ 'th segment of the multiplier is also a number multiplied by  $2^k$ , giving it the same rank as  $S(i)$ .

#### Modular reduction on a Montgomery machine in one multiplication process

20 Many cryptographic processes such as the NIST Digital Signatures Standard or modular exponentiation using the Chinese Remainder Theorem require reducing a number which is larger (often more than twice as large) than a second modulus.

25 These modular reductions can efficiently be executed in one interleaved Montgomery multiplication using the machine of the invention and a non-obvious extension to the Montgomery algorithm.

Note that in the previous examples, it was implied that  $n$ , the length of the modulus, of the operand was also the exact length of  $N$ . For ordinary exponentiations and multiplications this would be most efficient. However, in those cases where a reduction in size is necessary, use can be made of a second constant,  $I^{-1} = 2^n \text{ mod } N$ , which when Montgomery multiplied by the number to reduced, in one operation effects a minimum reduction. This constant,  $I^{-1}$ , can be calculated with the same mechanism which calculates the constant  $H$  (see sections on calculating  $H$  parameter), by placing the module,  $N$ , in the most significant part of the divisor operand, so that its most significant "1" rests in the most significant bit of the divisor register. The number of shift/trial-subtracts, obviously, must now be  $n + 1 - L$ , wherein  $L$  is the number of relevant bits of  $N$ . Note that this  $I^{-1}$  will be an operand  $L$  bits long.

35 To prove this premise, first we repeat that a Montgomery multiplication of  $A \cdot B \text{ mod } N$ ,  $(P(A \cdot B))_N$ , yields the congruence  $A \cdot B \cdot I \text{ mod } N$ . If we assign  $B = I^{-1}$ , then:

$$P(A \cdot I^{-1})_N = A \cdot I^{-1} \cdot I \text{ mod } N = A \text{ mod } N.$$

40 **Example 2**

#### an interleaved Montgomery reduction:

To demonstrate a reduction of  $t$  to  $\text{mod } q$  ( $t \text{ mod } q$ ), wherein the length of the multiplying register where  $t$  initially stored is 24 bits long, is larger than the length of  $q$ .

45 Assume a word length (size of machine multiplier) of 8 bits, and the following test variables:

$n = 24$ ;  $k = 8$ ;  $t = 0a\ f5\ 9b$ ;  $q = 2b\ 13$ ; and

$R = I^{-1} = 2^{24} \text{ mod } q = 141d$ .

50 Using a simple division calculation we know for comparison that  $t \text{ mod } q = 5c8$ .

Note that the reduction and retrieval are performed in one Montgomery multiplication.

Initially:  $S(0) = 0$ ,  $A = t = 0a\ f5\ 9b$ ,  $B = R = 141d$ ,  $N = q = 2b\ 13$

Step 1  $X = S(0) + A_0 \cdot B = 0 + 9b \cdot 141d = c\ 2d\ 8f$

$Y_0 = X_0 \cdot J_0 \text{ mod } 2^k = 8f \cdot e5 \text{ mod } 2^8 = eb$

$Z = X + Y_0 \cdot N = c\ 2d\ 8f + eb \cdot 2b\ 13 = 33\ b8\ 00$

55  $S(1) \not\equiv Z/2^k \text{ mod } N = 33\ b8 \text{ which is larger than } N$

$S(1) = 33\ b8 - 2b\ 13 = 8\ a5$

Step 2  $X = S(1) + A_1 \cdot B = 8\ a5 + f5 \cdot 141d = 13\ 48\ 66$

$Y_0 = X_0 \cdot J_0 \bmod 2^k = 66 \cdot E5 \bmod 2^8 = 3e$   
 $Z = X + Y_0 \cdot N = 13\ 48\ 66 + 3e \cdot 2b\ 13 = 1d\ b7\ 00$   
 $S(2) = Z / 2^k \bmod N = 1d\ b7$   
**Step 3**     $X = S(2) + A_2 \cdot B = 1d\ b7 + 0A \cdot 14\ 1d = e6\ d9$   
5             $Y_0 = d9 \cdot e5 \bmod 2^8 = 1d$   
 $Z = X + Y_0 \cdot N = e6\ d9 + 1d \cdot 2b\ 13 = 5\ c8\ 00$   
 $S(3) = Z / 2^k \bmod N = 5\ c8$   
And  $t \bmod q = 5c8$ , as was previously calculated.

10    **Exponentiation**

The following derivation of a sequence [D. Knuth, *The art of computer programming*, vol. 2: Seminumerical algorithms, Addison-Wesley, Reading Mass., 1981] hereinafter referred to as "Knuth", explains a sequence of squares and multiplies, which implements a modular exponentiation.

15    Assuming that we have precalculated the constants in the above section, and that our device can both square and multiply in the  $\rho$  field; we wish to calculate:

$$C = A^E \bmod N.$$

Let  $E(j)$  denote the  $j$  th bit in the binary representation of the exponent  $E$ , starting with the MS bit whose index is 1 and concluding with the LS bit whose index is  $q$ , we can exponentiate as follows:

20    a)  $B = A$

FOR  $j = 2$  TO  $q$

a)  $B \not\equiv \rho (B \cdot B)_N$

b)  $B \not\equiv \rho (B \cdot H)_N$  (steps a and b are equivalent to  $B \not\equiv B^2 \bmod N$ )  
IF  $E(j) = 1$  THEN

a)  $B \not\equiv \rho (B \cdot A)_N$

b)  $B \not\equiv \rho (B \cdot H)_N$  (steps a and b are equivalent to  $B \not\equiv B \cdot A \bmod N$ )

In the transition from each step to the next,  $N$  is subtracted from  $B$  whenever  $B$  is larger than or equal to  $N$ .

After the last iteration, the value  $B$  is  $\not\equiv$  to  $A^E \bmod N$ .

There are more efficient proprietary protocols that could be used with the described circuitry to perform modular exponentiation; we name two encryption protocols on which the method described herein will oft n double the speed of exponentiation. In the RSA method [R. L. Rivest et. al., "A method for obtaining digital signatures and public key cryptosystems", *Comm. of the ACM*, vol. 21, 120-126, 1978] hereinafter referred to as "RSA" and the Diffie-Hellman protocol [W. Diffie and M. E. Hellman, "New directions in cryptography", *IEEE Trans. on Inform. Theory*, vol. IT-22, 644-654, 1976], hereinafter referred to as "Diffie-Hellman", most of the difficult exponentiations are executed using a constant exponent. The method of the following section (an efficient method for a retrieval from a  $\rho$  field exponentiation), reduces computation time for those computations where a constant exponent is used. When this method is used, steps b) in the described exponentiation process (all  $(\rho(B \cdot H))_N$  multiplications) are deleted, and the final value of  $B$ , after the  $q$ 'th iteration of the exponentiation is multiplied in the Montgomery  $\rho$  field by a precalculated constant  $T$ .

45    To those involved in the implementation, it is obvious that for full RSA signatures, with this circuitry, using the Chinese Remainder Theorem [described in the aforementioned article by Knuth], it is possible to make a further more than 70% reduction of the computation time.

50    **An efficient method for a retrieval from a  $\rho$  field exponentiation**

The square and multiply protocol of the previous section can be improved, and it is possible to reduce the number of  $\rho$  field multiplications during the iterative sequence by introducing a new precalculated constant,  $T$ , which is a function of the modulus,  $N$ , and the exponent,  $E$ .

$$T = (2^n)^{\Sigma} \bmod N = (l^{-1})^{\Sigma} \bmod N.$$

55    where

$$\Sigma = 2^{q-1} + E \bmod 2^{q-1}$$

and

$q$  is the number of relevant bits in  $E$  (disregard any leading zeros).  
The modular exponentiation can now be calculated with the sequence:

Initially:

```

5      B = A
      FOR j = 2 TO q
          B ≡ P(B·B)N
          IF E(j) = 1 THEN
              B ≡ P(B·A)N
10     END FOR
          B ≡ P(B·T)N
```

Assume again, that on each transition from one step to the next,  $N$  is subtracted from  $B$ , whenever  $B$  is larger than or equal to  $N$ .

15 Note again that every multiplication in the  $P$  field is equivalent to a modular multiplication of the same factors by 1, e.g.,  $P(X·Y) ≡ X·Y·1 \text{ mod } N$ .

### Example 3

20 This example demonstrates the use of  $T$  in the calculation of  $A^E \text{ mod } N$  and makes  $T$ 's definition obvious.  
Assume  $n = 4$  and  $E = 5 = 0101_2$ .  $q$  (after discarding  $E$ 's leading zero) is 3, therefore:  
 $E(1) = 1$ ;  $E(2) = 0$ ; and  $E(3) = 1$ ,  
and  $T$  is precalculated:

$$25 \quad T = (2^n)^5 \text{ mod } N = (I^{-1})^5 \text{ mod } N \\ \Sigma = 2^q - 1 + E \text{ mod } 2^q - 1 = 2^{3-1} + 5 \text{ mod } 2^{3-1} = 4 + 1 = 5$$

and therefore:

$$T = I^{-5} \text{ mod } N.$$

as is seen when-

30 Initially:  
 $B = A$   
 $j = 2, E(2) = 0$   
 $B ≡ P(B·B)<sub>N</sub> ≡ A^2·I \text{ mod } N$   
 $j = 3, E(3) = 1$   
 $B ≡ P(B·B)<sub>N</sub> ≡ B^2 ≡ A^4·I^2·I \text{ mod } N$   
 $B ≡ P(B·A)<sub>N</sub> ≡ A^4·I^3·A·I \text{ mod } N$

and finally:

$$B ≡ P(B·T)<sub>N</sub> ≡ A^5·I^4·I^{-5} \text{ mod } N ≡ A^5 \text{ mod } N$$

40 The introduction of the parameter  $T$  can be avoided if the following steps are followed in order to calculate  $A^E$ :

Assuming that we have precalculated the Montgomery constant,  $H$ , and that our device can both square and multiply in the  $P$  field, we wish to calculate:

$$C = A^E \text{ mod } N.$$

45 Let  $E(j)$  denote the  $j$  bit in the binary representation of the exponent  $E$ , starting with the MS bit whose index is 1 and concluding with the LS bit whose index is  $q$ , we can exponentiate as follows for odd exponents:

```

A * ≡ P(A·H)N
B = A*
FOR j = 2 TO q-1
    B ≡ P(B·B)N
    IF E(j) = 1 THEN
        B ≡ P(B·A*)N
ENDFOR
55      B ≡ P(B·A)N
      C = B
```

In the transition from each step to the next,  $N$  is subtracted from  $B$  whenever  $B$  is larger than or equal to  $N$ .

After the last iteration, the value B is  $\gamma$  to  $A^E \bmod N$ , and C is the final value.  
For even exponents, the last step could be:

5.  $B \not\equiv P(B \cdot 1)_N$  instead of  $B \not\equiv P(B \cdot A)_N$

To clarify, we shall use the following example:

$E = 1011 \rightarrow E(1) = 1; E(2) = 0; E(3) = 1; E(4) = 1;$

To find  $A^{1011} \bmod N$ ;  $q = 4$

10.  $A^* = P(A \cdot H)_N = A I^{-2} I = A I^{-1} \bmod N$

$B = A^*$

for  $j = 2$  to  $q$

$B = P(B \cdot B)_N$  which produces:  $A^2(I^{-1})^2 I = A^2 \cdot I^{-1}$

$E(2) = 0; B = A^2 \cdot I^{-1}$

$j = 3 B = P(B \cdot B)_N = A^2(I^{-1})^2 I = A^4 \cdot I^{-1}$

15.  $E(3) = 1 B = P(B \cdot A^*)_N = (A^4 \cdot I^{-1})(A I^{-1}) \cdot I = A^5 \cdot I^{-1}$

$j = 4 B = P(B \cdot B)_N = A^{10} \cdot I^{-2} I = A^{10} \cdot I^{-1}$

As  $E(4)$  was odd, the last multiplication will be by A, to remove the parasitic  $I^{-1}$ .

$B = P(B \cdot A) = A^{10} \cdot I^{-1} \cdot A \cdot I = A^{11}$

C = B

20.

### Calculating the H parameter

25.

The H parameter is a constant that is vital for computations in the Montgomery field. Using certain protocols, H will be a constant that might be precalculated on a larger computer; or in other cases it might be a useful constant which will be a first stage parameter used in calculating a more useful constant. See the previous section.

In regular communications it might be assumed that H will be precalculated, however, for several protocols, e.g., authenticating a signature in a random communication in RSA, it might be necessary to calculate H with this device, e.g., the Smart Card.

30.

The H parameter is defined as:

$H = 2^n \bmod N$ .

This means that H is the remainder of a normal division operation wherein a string with an MS bit of one followed by  $2n$  LS zeros (a  $2n + 1$  bit long operand) is divided by the modular base N.

35.

Binary division by the divisor, N, of a dividend consisting of a "1" and a string of zeros, is tantamount to sequentially trial-subtracting N, i.e. subtracting N from the residual trial-dividend when the most significant  $n+1$  bits are larger than N. (Follow the example.)

Although the dividend is  $2n+1$  bits long, it will be obvious that the residual trial-dividend which is affected by a subtraction, is never more than  $n + 1$  bits long, and the LS digits are zeros.

For example:

40.

Find H when  $N = 11_{10} = 1011_2$ , (therefore the bit length of N is 4, i.e.,  $n=4$ )

Dividing, as we would manually perform long division base 2:

45.

50.

55.

1 0111

1011 | 1 0000 0000

5           1011      SUCCESSFUL SUBTRACT

0101 0      => result of the 1st round

10          1011      NO SUBTRACT

101 00      => result of the 2nd round

10          1011      SUCCESSFUL SUBTRACT

10          10 010      => result of the 3rd round

15          1 011      SUCCESSFUL SUBTRACT

0 1110 => result of the 4th round

15          1011      SUCCESSFUL SUBTRACT

RESULT 5' TH (n+1) round=> 0011 = H (3 base 10 = the remainder)

20 where we have verified that  $H = 3_{10}$ .

There are  $n + 1$  trial subtractions in an H division process. Note also that the trial-dividend is also  $n + 1$  bits long. This sequence of subtractions will be followed in hardware in the description to follow.

This invention relates to a compact synchronous microelectronic peripheral machine for standard microprocessors with means for proper clocking and control, having as essential elements: three main subdivid d, switched and clocked shift registers, B, S and N; two only multiplexed serial/parallel multipliers; borrow detectors, ancillary subtractors and adders; delay registers and switching elements; all of which embody a totally integrated concurrent and synchronous process approach to modular multiplication, squaring, and exponentiation. A further embodiment implements a unique, not straightforward, synchronized hardware derivation of the Montgomery method designed for hardware modular multiplication, squaring, and exponentiation. In the alternative it is also possible to execute a derivation of the Montgomery method as a multiplicity of simultaneous serial processes, i.e., multiplications, subtractions, additions, stored delays, and a division by  $2^k$ . Th processes are executed in parallel, as serial processes merge.

In yet a further embodiment it is possible to execute a derivation of the Montgomery method as a multiplicity of serial processes for modular multiplication, squaring, and exponentiation, precluding the use of wide internal busses. It is further possible to make this derivation sufficiently compact to be fabricated on a microchip as specified by the ISO 7816 standards for portable Smart Cards using popular 1 micron technology.

It is further anticipated that it is possible to execute a derivation of the Montgomery method as above described, as a multiplicity of serial processes for modular multiplication, squaring, and exponentiation, which can be controlled by any microprocessor with an internal bus, without changing its basic architecture, and specifically, without redesigning memories for dual port access, and with relatively small demands of firmware.

Such a machine according to this invention may also use the microcontroller to regulate the cascade of  $\rho$  field sequences of squarings and multiplications, wherein the exponent E need not be stored in the MULT block, saving one n bit long shift register; simplifying the MULT control , while demanding little additional microcontroller ROM code.

According to a further embodiment of the machine according to this invention, as a result of loading the  $A_i$  register with the squaring multiplicands "on the fly", while the  $B$  register is rotating; precludes unloading by the microcontroller of the previous final values of  $B$  and/or  $B-N$ , in order to reload the  $A_i$  register with  $B_i$  characters. This conserves microcontroller RAM, and eliminates at least n effective clock cycles on each squaring iteration.

In a further variation two storage registers and separate serial subtraction operations are delet d from a straight forward implementation of the Montgomery method. This is accomplish d by enacting a single serial detection on  $Z/2^k$  minus N, to determine if  $Z/2^k$  is larger than or equal to N and subsequently achieving smaller than N operands with only one serial subtraction.

In yet a further embodiment the circuit is synchronized in such a quasi-parallel man r so that only two multipliers are used to perform three simultaneous multiplication operations. In a silicon implementation serial/parallel multipliers can occupy 40% of the silicon area. Using two instead of three serial/parallel multipliers,

conserves enough silicon to double the number of cells in the remaining two multipliers. This doubling of the multiplier size reduces the process time for a 512 bit multiplication by more than 45%.

It is also understood that a machine according to the above, can be used with a digital delay circuit, Delay3, (a k bit shift register) to synchronize the serial addition of X with the serial result of the multiplier ML1,  $Y_0 \cdot N$ ; precluding double storage of products or a repetition of a serial/parallel multiplication.

In a further embodiment there are two digital delay circuits, Delay1 and Delay2, (two k bit shift registers), used to synchronize three serial multiplications in which N is a factor, i.e.,  $B \cdot A_i$ ,  $X \cdot J_0$ , and  $Y_0 \cdot N$ .

Alternatively a machine as described above could be constructed, in which a digital delay circuit, Delay3, synchronizes the operation of the serial/parallel multiplier, ML2, so that it can perform two separate multiplication operations in the process stream, i.e.,  $X \cdot J_0$  and  $Y_0 \cdot N$ .

It is also possible that such a machine, the registers S, B, and N are configured to be either n bits or  $n/2$  bits long; whereby exponentiation over  $n/2$  length modules can be accomplished in little more than one eighth of the effective clock cycles that would be necessary for n bit length exponentiations.

In yet a further embodiment a machine according to the above is anticipated, which when processed with an original retrieval factor T, can reduce the number of  $\rho$  field multiplication operations on a full RSA signature exponentiation to close to half.

This machine could also, assuming necessary precalculations, execute the complete multiplication process  $\rho (A-B)N$  of an n bit number in only  $m(n+2k)$  effective clock cycles, because of "on the fly" loading of the A register, and "on the fly prediction" of the size of the contents of the S register, and "on the fly" synchronization of the partial operands.

This invention further includes a machine according to the above, using the same registers in the same machine as used for Montgomery multiplications, to which a small borrow detect circuit is appended and a simple addition to the controlling mechanism, operating in a second mode calculates the H parameter.

It is further anticipated that every subprocess and process are executed with predetermined numbers of clock cycles, so that a  $\rho$  field multiplication and/or a squaring is performed in known sequences of clock cycles, enabling the embodiment of a simplified control consisting of a cascade of self-exciting counting mechanisms with no internal conditional branches.

According to the invention it is anticipated that any of the machines described (*infra* or *supra*) could be provided an even more improved method for performing modular exponentiation of  $D = A^E \bmod N$ , which comprises the steps of:

1. storing the exponent E in a computer register;
2. loading the modulus into the aforesaid register N;
3. setting the aforesaid register S to zero;
4. performing a multiplication operation, by the method of application No. 104753, of  $A* = \rho (A \cdot H)_N$  while A is the operand to be exponentiated, and H is a precalculated parameter as defined before;
5. loading  $A*$  into the base register B;
6. performing a squaring operation of the contents of register B;
7. shifting said exponent E left;
8. ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 9 to 10;
9. for every one of said E bits, regardless of their being 0 or 1, carrying out operations 4 and 5 of the squaring method hereinbefore set forth, wherein both the multiplicand and the multiplier originate from the B register, and wherein the successive characters of the Montgomery multiplier are loaded into register  $A_i$  from register B;
10. if and only if the current bit of the exponent E is 1, carrying out, after performing operation 9, operations 4 and 5 of the multiplication method hereinbefore set forth, wherein the multiplicand is the content of register B and the multiplier is the base  $A*$ ; and
11. after performing steps 8-10 for all bits of E, performing an additional multiplication of register B by the original base A and then storing the result of the last operation as  $D \equiv A^E \bmod N$  in register B.

Another object of the invention (again using any of the machines or methods described herein (*infra* or *supra*)) includes a method for carrying out conventional multiplication of two numbers whose average significant length is  $n/2$  bits, comprising carrying out modular multiplication of said numbers by the multiplication process as described by at least one of the methods described herein (*infra* or *supra*), wherein the modulus, N, is an n-bit number consisting of all "1's" (ffff...ff), equating  $J_0$  to 1, and loading the multiplicand in B and manipulating A as in said multiplication process of Claim 1; N can be all ones either by means of preloading

register N with all ones or by setting the multiplexer which outputs N to output a series of "hard" ones.

A concurrent process and a unique hardware architecture have been provided, to perform modular exponentiation without division with the same number of operations as would be performed with a classic multiplication/division device, wherein a classic device would perform both a multiplication and a division on each operation.

Division is usually a non-deterministic process, and considered more difficult and time consuming than multiplication.

The advantages realized in this invention result from a synchronized sequence of serial processes, which are merged to simultaneously (in parallel) achieve three multiplication operations on n bit operands, using two simple k bit serial/parallel multipliers in  $(n + 2k)$  effective clock cycles.

By properly synchronizing and on the fly detecting and preloading operands, the machine operates in a deterministic fashion, wherein all multiplications and exponentiations are executed in a predetermined number of clock cycles. Conditional branches are replaced with local detection and compensation devices, thereby providing a basis for the simple type control mechanism, which, when refined, can consist of a series of self-exciting cascaded counters.

The machine has particularly lean demands on volatile memory, as operands are loaded into and stored in the machine for the total length of the operation; however, the machine exploits the CPU onto which it is appended, to execute simple loads and unloads, and sequencing of commands to the machine, whilst the machine performs its large number calculations. The exponentiation processing time is virtually independent of the CPU which controls it. In practice, no architectural changes are necessary when appending the machine to any CPU. The hardware device is self-contained, and can be appended to any CPU bus.

When using these and previously patented and public domain process controlling protocols; the means for accelerating the modular multiplication and exponentiation process is provided, with means for precalculating the necessary constants.

The design of the preferred embodiments of the invention described herein was compacted and devised for the specific purpose of providing a modular mathematical operator for public key cryptographic applications on portable Smart Cards (identical in shape and size to the popular magnetic stripe credit and bank cards). These cards are to be used in a new generation of public key cryptographic devices for controlling access to computers, databases, and critical installations; to regulate and secure data flow in commercial, military and domestic transactions; to decrypt scrambled pay television programs, etc.

It should be appreciated that the device may also be incorporated in computer and fax terminals, door locks, vending machines, etc.

The hardware described carries out modular multiplication and exponentiation by applying the  $\wedge^p$  operator in a new and original proceeding. Further, the squaring can be carried out in the same method, by applying it to a multiplicand and a multiplier that are equal. Modular exponentiation involves a succession of modular multiplications and squarings, and therefore is carried out by a method which comprises the repeated, suitably combined and oriented application of the aforesaid multiplication squaring and exponentiation methods. However, a novel and improved way of carrying out modular exponentiation will be further specified herein.

The method for carrying out modular multiplication, wherein the multiplicand, A, the multiplier, B, and the modulus, N, comprise m characters of k bits each, the multiplicand and the multiplier not being greater than the modulus, comprises the steps of:

1 - precalculating a parameter H and at least the least significant character  $J_0$  of another parameter J, as hereinafter defined, and loading  $J_0$  into a k bit register;

2 - loading the multiplier B and the modulus N into respective registers of n bit length, wherein  $n = m \cdot k$ ;

3 - setting an n-bit long register S to zero; and

4 - carrying out an i-iteration m times, wherein i is from zero to  $m-1$ , each ith iteration comprising the following operations:

a) transferring the ith character  $A_{i+1}$  of the multiplicand A from  $A_i$  register means to storing means chosen from among register and latch means;

b) generating the value  $X = S(i-1) + A_{i+1} \cdot B$ , wherein  $S(i-1)$  is the "updated" value of S, as hereinafter defined, by :

I cycle right shifting of the B register into multiplying means,

II serially multiplying B by  $A_{i+1}$ ,

III cycle right shifting of the modulus register N,

IV determining the "updated" value of  $S(i-1)$  as the value stored in the S register after the  $(i-1)$ th iteration, if the same is not greater than N, or if it is greater than N, by serially subtracting N from it and assuming the resulting value as the "updated" value of  $S(i-1)$ ; and

V cycle right shifting of the register S and serially adding the value of the multiplication  $A_{i+1} \cdot B$  bit by bit to the "updated" value of S;

5      c) multiplying the LS character of X,  $X_0$  by  $J_0$  and entering the value  $X_0 \cdot J_0 \bmod 2^k$  into register means as  $Y_0$ , while delaying N and X by k clock cycles;

d) calculating the value  $Z = X + Y_0 \cdot N$  by:

      I multiplying  $Y_0$  by N by a delayed right shifting of the N register concurrent with the aforesaid right cycle shifting thereof, and

      II adding X to the value of  $Y_0 \cdot N$ ;

10     e) ignoring the least significant character of Z and entering the remaining characters into the S register, whereby to enter  $Z/2^k$ , except for the last iteration;

f) comparing  $Z/2^k$  to N bit by bit for the purpose of determining the updated value of S,  $S(i)$  in the manner hereinbefore defined;

g) wherein the ith character of the multiplicand  $A_i$  is loaded into the A register means at any time during the aforesaid operations;

15     5) at the last (m th) iteration, ignoring the least significant character of  $Z/2^k$  and entering the remaining characters into the B register, as the value of  $C \not\equiv P(A \cdot B)N$ ;

6) repeating the steps 3) to 4), wherein C or C-N, if C is greater than N, is substituted for B and H is substituted for A, whereby to calculate  $P = P(C \cdot H) \bmod N$ ; and

20     7) assuming the value of  $P$  obtained from the last iteration as the result of the operation  $A \cdot B \bmod N$ .  
Also described is a method for performing the modular exponentiation of  $D = A_E \bmod N$  which comprises the following steps:

25     1) loading the modulus number into the aforesaid register N;

2) setting the aforesaid register S to zero;

3) loading the base A to be exponentiated into the aforesaid register B;

4) storing the exponent E in a computer register;

5) shifting said exponent E left;

30     6) ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 7 to 9:

7) for every one of said bits, regardless of its being 0 or 1, squaring the content of register B by the multiplication method hereinbefore set forth, wherein the successive characters of the base are loaded into register  $A_i$  from register B;

35     8) if and only if the current bit of the exponent E is 1, multiplying, after performing operation 7), the content of register B by the base A; and

9) after each Montgomery square or Montgomery multiply operation to perform a Montgomery C · H multiplication ( $P(C \cdot H)_N$ , and

40     10) after performing steps 6-9 for all bits of E, storing the result of the last operation as  $D \not\equiv A^E \bmod N$  in register B.  
Further described is a method for performing modular exponentiation of  $D = A_E \bmod N$  which comprises the steps of:

45     1) loading the modulus number into the aforesaid register N;

2) setting the aforesaid register S to zero;

3) loading the base A to be exponentiated into the aforesaid register B;

4) storing the exponent E in a computer register, and a precalculated parameter T in the CPU memory;

5) shifting said exponent E left;

50     6) ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 7 to 8:

7) for every one of said bits, regardless of its being 0 or 1, carrying out operations 4 and 5 of the multiplication method hereinbefore set forth, wherein both the multiplicand and the multiplier are the base A, and wherein the successive characters of the base are loaded into register  $A_i$  from register B;

8) if and only if the current bit of the exponent E is 1, carrying out, after performing operation 7), operations 4 and 5 of the multiplication method hereinbefore set forth, wherein the multiplicand is the content of register B and the multiplier is the base A; and

55     9) after performing steps 7 and 8 for all bits of E, performing an additional Montgomery multiplication of register B by the parameter T ( $P(B \cdot T)_N$ ), and then storing the result of the last operation as  $D \not\equiv A^E \bmod N$ .

N in register B.

Parameter T is defined as  $T = (2^n)^S \bmod N$ , wherein  
 $S = 2^{q-1} + E \bmod 2^{q-1}$ , as explained in detail in the parent application.

This invention provides an even more improved method for performing modular exponentiation of  $D = A^E \bmod N$ , which comprises the steps of:

- 1) storing the exponent E in a computer register;
- 2) loading the modulus number into the aforesaid register N;
- 3) setting the aforesaid register S to zero;
- 4) performing a multiplication operation, of  $A* = P(A-H)_N$  while A is the operand to be exponentiated, and H is a precalculated parameter as defined before.
- 5) loading A\* into the base register B.
- 6) performing a squaring operation of the contents of register B.
- 7) shifting said exponent E left;
- 8) ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 9 to 10:
- 9) for every one of said E bits, regardless of its being 0 or 1, carrying out operations 4 and 5 of the squaring method hereinbefore set forth, wherein both the multiplicand and the multiplier originate from the B register, and wherein the successive characters of the Montgomery multiplier are loaded into register A<sub>i</sub> from register B;
- 10) if and only if the current bit of the exponent E is 1, carrying out, after performing operation 9, operations 4 and 5 of the multiplication method hereinbefore set forth, wherein the multiplicand is the content of register B and the multiplier is the base A\*; and
- 11) after performing steps 8-10 for all bits of E, performing an additional Montgomery multiplication of register B by the original base A and then storing the result of the last operation as  $D \not\equiv A^E \bmod N$  in register B if the exponent is odd; if the exponent were even, perform an additional Montgomery multiplication of D times 1:

$$B \not\equiv P(D-1) \not\equiv D-1$$

It is seen that the exponentiation method of this invention eliminates the need for the computation of the parameter T, hereinbefore mentioned.

It has further been found, and this is another object of the present invention, that the machine described (in a 512 bit register size form) permits obtaining the result of the conventional multiplication of two n/2 bit numbers (actually any two operands which when multiplied will not cause a result longer than n bits, i.e. an overflow) without using the additional hardware or the cumbersome operations that would be required to obtain it according to the prior art. This is achieved by carrying out modular multiplication of said numbers by the multiplication process, wherein the value of the modulus, N, is an n bit number consisting of all "1's" (ffff...fff), equating J<sub>0</sub> to 1, and loading the multiplicand in B and manipulating A as in said multiplication process.

The device for carrying out such multiplication in the normal field of numbers by the aforesaid method can be the same device which comprises control means including a CPU and a multiplication circuit which comprises:

- an n bit shift register B for the multiplier;
- an n bit shift register N for the modulus;
- an n bit shift register for the value S as herein defined;
- a k bit register A<sub>i</sub> for the multiplicand;
- k bit register means for the values J<sub>0</sub> and Y<sub>0</sub> as herein defined;
- multiplier means for multiplying the content of the B register by that of the A<sub>i</sub> register;
- additional n-bit multiplier means; and adding, subtracting, multiplexing and delay means.

Preferably, all connections between the n bit registers and the remaining components are 1 bit serial connections.

The invention further anticipates a method for performing modular exponentiation of  $D = A^E \bmod N$ , substantially as described.

Finally the invention even further anticipates a method for carrying out conventional multiplication of n/2-bit numbers, also substantially as described.

**BRIEF DESCRIPTION OF THE DRAWINGS**

In the drawings:

- 5 Fig. 1 is a block diagram of an apparatus according to an embodiment of the invention;
- Fig. 2 is a block diagram of a modular multiplication circuit according to an embodiment of the invention;
- Fig. 3 shows the particular modular multiplication circuit according to an embodiment of the invention;
- Fig. 4 is a schematic diagram illustrating the timing relationship between the various operation of an iteration of the multiplication operation according to an embodiment of the invention;
- Fig. 5 illustrates a serial/parallel multiplier cell;
- 10 Fig. 6 illustrates an 8 bit serial/parallel multiplier;
- Fig. 7 illustrates a serial adder;
- Fig. 8 illustrates a serial subtractor;
- Fig. 9 illustrates an architecture for calculating the H parameter;
- More specifically-
- 15 the figures depict several layers of logical concepts necessary for understanding the device in its totality. In all cases, the clock signal motivates the circuit, and if there is a reset signal, its purpose is to initialize a circuit to a zero state.

**Detailed Description of Preferred Embodiments**

20 Figure 1 is a block diagram of the monolithic circuit into which the invention is integrated. The MULT block contains the hardware device which is the basis for the invention; the State machine contains the controller which drives the MULT circuit; the ROM block contains all the non-volatile memory (ROM and EEPROM), wherein the program for controlling the Smart Card resides, the trusted third party public keys, and the program for driving the MULT block and the State machine; the RAM block contains the volatile memory which stores temporary operands, such as messages to be exponentiated, public keys to be authenticated, data in transit to the MULT block, etc.; the CPU (central processing unit) can be virtually any popular microcontroller which has an 8 bit or wider internal bus.

25 Fig. 2 shows in block diagram form a modular multiplication circuit according to the invention, which can be used for carrying out modular squaring and modular exponentiation. Numerals 10, 11 and 12 indicate three registers that are  $n$  bit long  $n = k \cdot m$  which constitute B, S and N registers respectively into which the multiplier value S and the modulus are loaded. The aforesaid registers are preferably divided into two  $n/2$  registers, preferably including a  $k$  least significant bit subdivision for the N and B registers. Multiplexers 13, 14 and 15 respectively are placed before the said registers, and if they are subdivided into component parts, a multiplexer is placed before each subdivision. Also shown in a block diagram, these registers are intended to be serially loaded, but it would also be possible to load them in parallel. 16, 17 and 18 are three registers, each of which is  $k$  bits long, for receiving the values  $A_i$ ,  $J_0$ , and  $Y_0$  values respectively. Registers 16 and 17 are serial load-parallel output or serial and parallel load-parallel output shift registers. Register 18 is preferably a serial in parallel output shift register. The content of these registers is intended to be processed by multiplying means 19 and 20 through components 21 and 22, which are preferably  $k$  bit latches. If they are latches, they are loaded from registers 16, 17 and 18 through  $k$  bit buses. If they are registers, they can be serially loaded through 1 bit connections. Numerals 24, 25, 25', 26, 36, 37 and 38 also designate multiplexers. Multipliers 19 and 20 may be A serial, B parallel inputs, serial output multiplier means or any other serial/parallel inputs-serial outputs multiplying means. Multiplexer 38 can force the modulus N to be all "1"s for multiplying in the normal field of numbers.

30 Numerals 27, 28, 29, 30, and 31 designate 1 bit full/half adder/subtract means. 31 designates a full adder/subtract means. 32, 33 and 34 designate  $k$  bit  $k$  clock cycle delay means capable of delaying digital signals, which may be composed of analog or digital components, though digital components are preferred. 35 is a Borrow detector, which is a two bit latch/storage means. As is seen, the device according to the invention although it is intended to handle large numbers such as 512 bit numbers does not comprise buses, except optionally a few  $k$  bit buses, and this constitutes an important saving of hardware. When registers B, S and N comprise  $n/2$  bit parts, the device of the invention can be used to carry out multiplication and exponentiation operations on 256 bit numbers, which is a substantial advantage as to the flexibility of the use of the device.

35 Fig. 3 shows the logic cells according to one preferred embodiment of the invention. Operands are fed into the  $A_i$  latch, the  $J_0$  register, the B register and the N register via serial connect DI, and results are unloaded via serial connect DO, from the B or S register.

Signal X is the bit stream summation of the product of B and  $A_i$  and S. (Values after S and B have assumed values smaller than N.) Signal  $Y_0$  is the  $k$  LS bit stream of the product of  $J_0$  and X. Signal Z is the summation

of X and the product of  $Y_0$  and N. The k LS bits of Z, being all zeros are disregarded, and only the n MS bits are serially fed into S or B.

The Borrow\_detector is a logic circuit which detects whether the value of  $Z/2^k$  is, or is not larger than N.

The subtractors Sub1 and Sub2 subtract the bit stream N from the bit streams of B and S, whenever B or S is larger than N.

Ad1 and Ad2 summate bit streams to produce X and Z streams.

The Delay1 and Delay2 shift registers are necessary to provide storage for synchronizing the mathematical processes.

No clocking controls are included in the drawing. It is assumed that clocks are supplied by the state machine whenever data must either emanate from or be fed into any of the above mentioned serially loaded/unloaded logic circuits.

Other controls are also not specified, i.e., multiplexer addresses, latch transfer signals, etc., which should be obvious to those acquainted with the art from the explanatory material included in this specification.

It will be evident to skilled persons how the device of Fig. 2 or Fig. 3 carries out the operations which constitute the multiplication method according to the invention. The timing relationship of said operation is, however, further illustrated in Fig. 4. Said figure diagrammatically illustrates all the various operations carried out in effective successive clock cycles in an embodiment of the invention, in which  $n = 512$ ,  $k = 32$  and  $m = 16$ . This is a fairly common situation in the encryption art. When the invention is carried out according to the embodiment illustrated in Fig. 3, the same device can be used to operate with  $n = 256$ , as well.

In Fig. 4 a succession of the various operations is illustrated as a function of the effective clock cycles, which are marked on the abscissa axis. At the beginning of the operation and before any of the iterations which form a part of the modular multiplication method according to the invention, the values of B, N and S are loaded in the respective registers. The first character of A is also loaded into the respective register. As soon as an iteration begins and during  $k$  clock cycles, the shifting of the content of the B and S registers is carried out.

The generation of the X value takes place during  $n+k$  effective clock cycles, the first  $k$  clock cycles being occupied by entering the value of  $X_0$ . During the first effective  $k$  clock cycles the value of  $Y_0$  has been entered. During the next effective  $n+k$  clock cycles, the value of X, which had been introduced into multiplier 20, is now shifted or introduced into adder 31 after having been delayed by delay 34. The value of N is used at three different time phases. First, to "update" S and B, second, delayed  $k$  effective clock cycles to multiply by  $Y_0$ , and then delayed a second  $k$  effective clock cycles to sense how the next value of S or B will be "updated". During the same  $n+k$  effective clock cycles, Z is calculated, as well as  $Z/2^k$ . The value of  $A_i$  is loaded beginning with the first  $k$  effective clock cycles and continuing during the successive part of the iteration. The final value of  $Z/2^k$  is entered into register S (or B) during  $n$  clock cycles after the first  $2k$  effective clock cycles.

Fig. 5 shows an implementation of a serial/parallel multiplier cell (as an aid to those technical people who are familiar with the art, but who may not be aware of the workings of such a configuration). Each of these cells comprise an MPL block as shown in Fig. 6.

Fig. 6 shows an implementation of an 8 bit serial/parallel multiplier. It implements Booth's multiplication algorithm for unsigned serial/parallel multiplication. In the ML1 and ML2 blocks of figure 3, the s/p multipliers are  $k$  bits long. Note that the MS cell is degenerate. The parallel 8 bit multiplicand is input on the  $X_1$  connections and the  $n$  bit long serial multiplier is input on the Y connector (LS bit first, and a string of  $k$  zeros after the MS bit of the multiplier). The product is output on MO, LS bit first, MS bit last, wherein a full product is  $n + k$  bits long.

Fig. 7 shows the serial adders for summatting two bit streams which appear on A and B input connections, and outputs the summate stream on connect S. The LS bits are first to be input, and the output stream, for operands of  $m$  bits long is  $m+1$  bits long. At the end of the  $m$ 'th effective clock, the CI output is the  $(m+1)$ 'th bit of the number string.

Fig. 8 shows the serial subtractors for emitting the difference between two bit streams which appear on the A and B input connections, and output the difference stream on the D connection. The LS bits are first to be input, and the output stream, for operands of  $m$  bits long is  $m$  bits long. At the end of the  $m$ 'th bit, the BI output is the  $(m+1)$ 'th bit of the number string and serves as a borrow out indication.

Fig. 9 shows the hardware layout for calculating the H parameter for a module N, which is  $n$  bits long. During this mode of operation, for an  $n$  bit long module, the N register is rotated  $n+1$  times, synchronized to the rotate of the S register, which rotates through Sub1 with a delay of the LS bit (an LS zero is inserted at the first clock cycle in M2\_1;1). The borrow detector "knows" at the end of the complete rotation whether or not N will be subtracted from the S stream on the next round, and switches the previous subtract multiplexer accordingly for the next round.

As stated above, Fig. 1 illustrates in block diagram form a device for carrying out the methods according to the invention. Block CONTROL of the device includes:

## 1) A complete Central Processing Unit (CPU)

2) Counters

3) A State Machine.

The CPU contains volatile and non-volatile memory some of which can be utilized by this multiplication process. The CPU controls the modular arithmetic block in the circuit.

The CPU:

1) Communicates with a host.

2) Loads and unloads data to and from the chip.

3) Commands the circuit to perform a sequence of mathematical operations.

4) Is responsible for other cryptographic and noncryptographic; and data processing operations.

The counters generate the address for the embodied State Machine.

The State Machine decodes the addresses and generates control signals to the MULT block. These control signals command the MULT block to perform the proper sequence of operations necessary to calculate the  $\rho(A \cdot B)_N$  transformation (where A can be equal to B).

Fig. 3 is a hardware block diagram of the hardware device that embodies the physical aspects of the invention (MULT), and is intended to aid in focusing onto several of the architectural concepts to be protected by this patent. The block concurrently implements the sequence specified in equations (1) to (5), and also, without changing the synchronous clocking, the transformations of S and B from limited congruence to equality. In this section we assume that the constants (functions of N),  $J_0$  and H have been precalculated.

The circuit performs  $\rho(A \cdot B)_N$ . Using this function the circuit can be utilized to calculate:1)  $B \cdot A \bmod N$ 

and

2)  $B^2 \bmod N$ ,

25 wherein B must always be smaller than N.

Implementing  $C = B \cdot A \bmod N$  (A can be equal to B):

- 1) The processor preloads the operand, B, into the B register, and the operand, N, into the N register.
- 2) Each time as the circuit in MULT starts calculating the next value of S, the circuit signals (flags) the

CPU to preload the next  $A_i$ . After the  $S(m)$ 'th iteration, a number which has  $\cong$  congruence to B resides in the B register.

3) Block MULT calculates  $F = \rho(B \cdot H)_N$  where H is a precalculated constant, in a sequence identical to steps 1) and 2), except that the processor will now preload the sequence of  $H_i$  characters (using the same sequence as used when it previously loaded the  $A_i$  characters).

35 Implementing  $C = B^2 \bmod N$ :

1) Assuming that register B contains a value which is known to be  $\cong$  congruent to B, and the register N contains the module N (as is generally the case when squaring); the MULT block can now proceed to squaring by first preloading the  $A_i$  register with  $B_0$ , the LS character of  $B_0$ .

40 2) The calculation  $B = \rho(B \cdot B)_N$  proceeds like the second step in the multiplication operation, except that the subsequent loading of the  $B_i$  characters is done serially "on the fly" from the B registers, as the B register rotates.

3) Calculating  $\rho(B \cdot H)$ , if necessary, is identical to the previous step 3.

45 As will be apparent to the skilled person, the inventors do not claim that the serial/parallel (s/p) multipliers or any of the conventional components used form a part of the invention *per se*. The following is included to clarify the use of standard logic cells in the public domain as several of them are not commonly used. The gate implementation shown here is for demonstration only. Skilled technicians optimize these logic cells.

The operands A, B and N are each n bit long, made of m groups of k bit long characters, therefore  $n = k \cdot m$ . In a hardware implementation where  $k = 32$ ; m can be either 8 or 16 binary bits long.

50

ML1,ML2

55 These multipliers execute the Booth's algorithm for unsigned multiplication, wherein the parallel operand is k cells (bits) long and the serially loaded operand can be of any required length.

Each serial/parallel multiplier is made of  $k-1$  MPL cells (figure 5). The most significant cell, its MS bit, consists of an AND gate, only.

Each MPL cell multiplies the serial input Y with its parallel  $X_i$  input bits and summates this result with th

serial output of the preceding MPL unit and its own previous cycle's carry out bit.

The MPL cell is a 2 bit multiplier adder. The block multiplies the input bit  $X_I$  and the serial input bit  $Y$  and summates the result with  $DI$ , (Data In) and the carry  $CI$ , (Carry In) from the previous cycle. The final result is  $DO$ , (Data Out) and a  $CO$ , (Carry Out) for the next cycle. This carry out is stored in a Data Flip-Flop (D F-F).

$$5 \quad DO = (DI + CI + XI \cdot Y) \bmod 2,$$

and the saved carry  $CO$  will be the  $CI$  on the next cycle. This carry is the Boolean sum:

$$CO = CI \cdot XI \cdot Y + CI \cdot DI + DI \cdot Y \cdot XI.$$

### Ad1, Ad2

10 This is a simple 1 bit full adder with a D F-F, for saving the carry to be carried in at the next clock cycle (figure 7).

The two inputs  $A$  and  $B$  are summated with the carry  $CI$  from the previous cycle to generate the modulo 2 sum, which is saved in the D F-F for the output signal,  $S$ . Upon reset the carry bit is set to "0".

15 Sub1, Sub2, Sub3

Each of the blocks, described in figure 8, is a full subtractor with a storage D F-F for the previous borrow. This block is similar to the Ad1 block with the exceptions that it serially subtracts the  $B$  stream from the  $A$  stream.

### Delay1, Delay2, Delay3

25 These are  $k$  bit shift registers consisting of  $k$  1 bit concatenated memory devices. They are used to synchronize the various operands in the mathematical sequence. This will become obvious as the circuit is explained.

### Ai, J0, Yo

30 These blocks are  $k$  bit long serial-in/parallel-out shift registers.  $k$  input bits enter in serially. After  $k$  effective clock cycles, these  $k$  bits appear in parallel on the output.

In figure 2 the thin lines are serial one bit conductors, and the bold lines denote  $k$  bit parallel conductors.

### M4\_1;x, M3\_1;x, M2\_1;x

35 These are one bit output multiplexers-  $M4_1;x$  which outputs 1 of 4 inputs -  $M3_1;x$ , which outputs 1 of 3 inputs, and  $M2_1;x$ , which outputs 1 of inputs.  $x$  denotes the explicit index of a specific component.

### B(0:k-1), B(k:n1-1), B(n1:n2), S(0:n1-1), S(n1:n2), N(0:k-1), N(k:n1-1), N(n1:n2)

40 These are shift registers. The size and place in the sequence of a longer register is designated by the numbers in the brackets, e.g.,  $X(s:t)$  is a  $t - s + 1$  bit long shift register,  $s$  is the index for the first bit of  $X(s:t)$ , and  $t$  is the index of the last bit of the  $X(s:t)$  register. For example,  $B(0:511)$  is composed of the three shorter cascaded registers:  $B(0:31)$ ,  $B(32:255)$  and  $B(256:511)$ .

45  $n1$  is generally equal to  $n/2$ , e.g.,  $256$ .  $n1$  must be a multiple of  $k$ .

$n2$  is equal to  $n-1$ .

$k$  is the length of the machine character; i.e. the size of the serial/parallel multipliers.

Therefore, in the first implementation the following values are anticipated:  $n1 = 256$ ,  $n2 = 511$ ,  $n = 512$  and  $k = 32$ .

50 Latch1, Latch2

These two latches are  $k$  bit registers. They are used to lock the parallel data into the multiplier to enable single clock parallel transitions in the multiplication sequences.

MULT block operation -  $\rho$  field multiplications and exponentiations.

For ease of explanation we have chosen to designate only those clock cycles which actually move data in registers; we define these "moving" cycles as "effective clock cycles".

5

 $\rho$  (A·B)<sub>N</sub> Multiplication

10

## Stage 1: Initial loading

- The following registers are loaded through DI.
- 1)  $J_0$  into the  $J_0$  register. (precalculated by the CPU)
- 2) B into the B register.
- 3) N into the N register.
- 4) The first character of A,  $A_0$ , into the  $A_2$  register.

15

Simultaneous to step 2, register S is loaded with zeros.

After loading these five registers the two parallel serial unsigned multipliers ML1, ML2, the serial adders Ad1, and Ad2 and the serial subtractors Sub1, Sub2 and Sub3 are reset.

20

Stage 2 : Executing the  $B \cdot A_0$  iteration.

The data,  $A_0$ , loaded into register  $A_i$  is transferred into Latch1. Register B is cyclicly shifted to the right. At the initiation of a process the Borrow2 control signal is "0", therefore, the content of B simply passes unchanged through the subtractor Sub1 and is multiplied by  $A_0$  in ML1. Register B's output is fed back, unchanged, into the register's input.

The result of this multiplication is serially added in Ad1 to the content of register S which is all zeros on this first iteration. This operation generates X as earlier described herein.

25

While these processes are progressing, the CPU preloads the next character of A,  $A_1$ , into Latch1.

$J_0$  from the  $J_0$  register is loaded into Latch2. X is serially input to ML2 to be multiplied by  $J_0$ . Thus after k effective clocks, the content of the register  $Y_0$  is the k least significant bits of the product of  $X_0 \cdot J_0$ .

30

Then, after these first k effective clocks, ML2 is reset; the serial input multiplexer M3\_1;4 is switched from the X stream to the N stream; the data in register  $Y_0$  is parallel-loaded into Latch2 in place of  $J_0$ ; and the output is switched to the  $Y_0 \cdot N$  stream. For the next  $n+k$  effective clock cycles the serial output result of the ML2 multiplication will be  $Y_0 \cdot N \cdot X$  which was delayed by k effective clocks is now summated in Ad2 to the product stream of ML2; this generates  $Z = X + Y_0 \cdot N \cdot X$ ; a number wherein the k least significant bits are zeros.

The first k bits from Ad2 being all zeros are disregarded and the next n bits are serially returned to the S register. This final quantity may be larger than or equal to N (in which case it must be reduced by N); i.e.,  $S(1) \not\equiv S(1) \bmod N$ .

35

To detect if  $S \geq N$ ; N is serially subtracted from this n bit long ( $Z/2^k$ ) stream in Sub3. However, only the n'th Borrow bit is stored in the borrow-save flip-flop.

If this Borrow bit is "0" or the final carry bit CO of adder Ad2 is "1" then the new value in S is larger than N.

40

At the end of this first iteration, there is a value in the S register which is the  $\not\equiv$  limited congruence of  $S(1) \bmod N$ ; registers  $J_0$ , B, and N retain the original values with which they were loaded; and the preload register,  $A_1$ , contains  $A_1$ .

45

Stage 3 : Subsequent  $B \cdot A_i$  iterations.

The next character of A,  $A_1$  is transferred into Latch1, the parallel input of ML1.

During the next and subsequent  $B \cdot A_i$  iterations, at the end of each iteration, the content of S is  $\not\equiv$  to  $S(i) \bmod N$ . If  $S(i) \geq N$ , then N is to be subtracted from  $S(i)$  in Sub2.

50

As each iteration commences, the next character of A,  $A_i$ , is loaded by the CPU into the preload register,  $A_i$ .

 $\rho$ (B·B)<sub>N</sub> Squaring operations.

The first operation in a normal exponentiation is a squaring operation, performed like a normal multiplication with the multiplier A loaded into the B register, and the multiplicand loaded into the  $A_i$  register in k bit increments as described in the previous section. Subsequent squarings are performed on operands (multiplier and multiplicand) whose limited congruence resides in the B register.

During such  $P(B \cdot B)_N$  squarings, from the outset the  $J_0$ ,  $S$ ,  $B$ , and  $N$  registers are already loaded from a previous multiplication or squaring, and remain unchanged; however, at each iteration the  $A_i$  register must be loaded with a new character, derived from a  $k$  bit character which resides in the  $B$  register.

For these subsequent squarings, the  $A_i$  register is preloaded from the  $B$  stream "on the fly". Once the CPU has given the command to square, it has no task to perform during the subsequent  $B \cdot B_1$  squaring operations. The  $B_i$ 's which are loaded, are segments of  $B$  which have flown through Sub1 ( $B_1$  segments of  $B$ 's which are already smaller than  $N$ ).

#### Stage 1: $B \cdot B_0$ iteration

Initially, the last  $\frac{m}{k}$  of  $S$  from the previous calculation resides in the  $B$  register.

The  $k$  LS bits of registers  $B$  and  $N$  are cyclically shifted to the right, thus after  $k$  effective clocks, the  $B$  and  $N$  registers are restored to their original states. the value in the  $B$  register is either the proper  $B$  value or the  $B-N$  value to be used for the next  $P$  multiplication. So, for the first round, the  $A_i$  register is to be preloaded with either  $B_0$  which resides in the  $B$  register or the  $k$  LS bits of  $B-N$ .

The purpose of this first  $k$  bit rotate is to be able to stream through Sub1 the first  $k$  bits of preload for register  $A_i$ . Immediately after being serially loaded,  $A_i$  is unloaded into Latch1, and the  $A_i$  preload register is free to be loaded with  $B_1$ , the second character of  $B$ .

During this and subsequent operations, as the Borrow2 signal is set or reset, the output string from Sub1 is positive and always smaller than  $N$ .

Now as all values are loaded into the registers, this first multiplication proceeds similarly to the  $B \cdot A_0$  iteration, as described in the previous section, except that as  $B$  rotates, as will be explained,  $B_1$  is loaded into the  $A_i$  register (remember that in a multiplication the CPU loads the  $A_i$  register.)

As the second  $k$  bit character,  $B_1$ , emanates from the  $B$  stream, during this first  $B \cdot B_0$  process, the  $B_1$  segment is serially switched into the  $A_i$  preload register "on the fly" in preparation for the next squaring operation, i.e., the  $B \cdot B_1$  iteration.

#### Stage 2: $B \cdot B_1$ iteration.

The value loaded into the  $A_i$  register,  $B_1$ , is transferred to its output Latch1. During the next  $n + 2k$  (e.g.,  $n + 64$ ) effective clock cycles, the multiplication process on  $B \cdot B_1$  is performed as described above.

As before, the signals Borrow1 and Borrow2 determine if  $N$  is to be subtracted from the streams emanating from the  $B$  and  $S$  registers. If the number in the  $S$  register is larger than or equal to  $N$  then Borrow1 is set and with subtractor Sub2,  $N$  is subtracted from  $S$ .  $N$  is subtracted from  $B$ , if necessary, for the duration of a complete  $m$  iteration multiplication loop. Such a condition would have been sensed with Borrow2 at the end of the previous multiplication or square.

The two flip-flops, Borrow1 and Borrow2 contain the final values of the conditioned Borrow Out from Sub3. Borrow1 is set or reset after each iteration of  $S$ . Borrow2 is set or reset after the last  $S(m)$  iteration, whence  $B$  is loaded with  $S(m)$ . The conditioned Borrow Out is the signal which indicates whether an  $S(i)$  is larger than  $N$ .

During the  $B \cdot B_1$  sequence, the  $B_2$  character is loaded "on the fly" into the  $A_i$  preload register as the  $B_2$  character exits the Sub1 subtractor.

#### Stage 3: Subsequent $B \cdot B_i$ multiplication iterations

The remaining  $m-2$  iterations are performed; during each one, the  $A_i$  register is loaded with the value of  $B_i$  character as it exits Sub1, in preparation for the next loop.

The final result, a limited congruence, resides in both the  $S$  and  $B$  registers. This data will be rectified at Sub1, if necessary, as it is serially outputted through DO.

### Operation of MULT block - Calculating the H parameter

To calculate  $H$ , the machine is reconfigured to use registers  $S$  and  $N$  as in Figure 9. We demonstrate the operation of the operator, using the numerical example already employed above. This configuration performs an  $H$  calculation in  $n+1$  rounds. On each round both  $S$  and  $N$  are rotated, each rotation being  $n$  effective clocks. On each round  $N$  circulates and returns unchanged. At the end of the  $i$ 'th round,  $S$  and the "Next subtract" signal contain the equivalent of a limited  $\frac{m}{n}$  congruence of  $S(i)$ .

#### 55 The initial conditions - 1st Round

At the outset of the first round the module  $N$  is loaded into the  $N$  register and the borrow detect flag is reset, signifying that the first trial subtraction will be successful; the output flip flop of Sub1 is reset to zero.

For round 1 we know that the MS (n'th) bit of the trial dividend is one. This bit is stored by inference in the "Next subtract" flip flop (no space in S). The "Next subtract" commands the S-N subtract in round 1.

We demonstrate, using the n=4 bit numerical example described above.

#### H Calculating Mode - Initial Condition

5

##### Stored in the Borrow Detector's Next Subtract Flag

10 At the outset, we know | N = 1011, , n=4.  
that the dividend's MS bit |  
is "1" |

15 Therefore, as we know that |  
there could be no borrow- |  
we reset the Next subtract |  
flag to zero |

20 | S(0) The contents of the S register.

↓ ↓

| — (0) 0000 (0000) ← "Virtual zeros"

25 | ~~↳ {These "virtual" LS zeros are  
not affected by a trial-  
subtract. At each round there  
will be one less zero in the  
"virtual zero counter"}~~  
Borrow Detect-Next Subtract  
signal is a zero - so on the  
first round M2\_1;3 will feed  
30 N to Subl- The Diff will be  
S-N with a leading zero, or  
to be exact, 2·(S-N).

35

On the first clock cycle,  
the zero from the reset Subl  
40 output flip flop is fed into  
S's MS cell S just as the LS  
bit from S is fed into Subl.

45

(The LS bit of S is always a zero  
"drawn" from the "virtual" LS zero  
counter".)

50

During the first n-1 clock cycles  
the LS n-1 bits of Diff will feed  
55 into S.

N is rotated back into its MS bit cell.

The BO (Borrow out) serial stream  
is equal to the series of borrows  
that result from the

5      Diff mod  $2^n - N$       stream,  
however, only the last borrow is  
sampled and may be relevant.

10     On the n'th effective clock cycle,  
"Next subtract" will raise a flag  
15     for a subtract for the next round  
if the MS bit of Diff is "1".  
OR if BO = "0"

20     On the first round N is subtracted from  $2^n$ , and n bits of the result multiplied by 2 (an LS zero insertion)  
is returned to the S register, EXCEPT for the MS bit which is stored "by inference" in the Borrow Detect\_Next  
Subtract register.

25     At the end of the first round rotate:  
S(1)=1010, Next subtract =1 (BO=1), and we know that on the next round there will be no subtract of S-  
N in Sub1.

30

35

40

45

50

55

## H Parameter Calculation - 2nd Round

Stored in the Borrow Detector's Next Subtract Flag

At the outset, we know | N = 1011, n=4.  
that the second round |  
subtraction would not be |  
successful as BO = "1" |  
"detected" at Sub2. |

S(1) The contents of the S register  
after the first round =

5

(1) 1010 {000} = "3 Virtual Zeros" Left

### Borrow Detect-Next subtract

signal is a one - so on this round M2\_1:3 will feed zeros to Sub1- Diff = 2:S

THERE WAS NO SUBTRACT

(The LS bit of S is again a zero "drawn" from the "virtual" LS zero counter.)

For the subsequent  $n-1$  clock cycles  
the LS  $n-1$  bits of  $\text{Diff} = 2 \cdot S$  will be  
fed into the  $S$  register.

N is rotated back into its MS bit cell

As the MS bit of Diff is a "1", we know that on the next round we must subtract S-N.

The sampled BO is irrelevant

55 Diff=1 0100 and S(2)=0100, Next subtract =0, and we know that on the next round there will be a subtract of S-N in Sub1.

H Parameter Calculation - 3rd RoundStored in the Borrow Detector's Next Subtract Flag

5

At the outset, we know  
that the third round  
10 subtraction will be  
successful as the MS bit  
of Diff was "1"

N = 1011,, n=4.

10

15 | S(2) The contents of the S register  
| after the second round

8 8

20

(0) 0100 (00) ← "2 Virtual Zeros" Left

8

25

Borrow Detect-Next Subtract

signal is a zero N will be  
subtracted from Diff.

30

For the subsequent n-1 clock cycles  
the LS n-1 bits of Diff = 2(S-N) will  
be fed back into the S register.

35

As the MS bit of Diff is "1" in Subl  
in the next round we must subtract S-N.

40

Diff=1 0010 and S(3)=0010, Next subtract =0, and we know that on the next  
round there will be a subtract of S-N in Subl.

H Parameter Calculation - 4th Round

45

Stored in the Borrow Detector's Next Subtract Flag

8

50

At the outset, we know  
that the fourth round  
subtraction will be  
successful as the MS bit  
of Diff was "1"

N = 1011,, n=4.

55

| S(3) The contents of the S register

|      after the third round

↓      ↓

5      ┌ (0) 0010 (0) ← "1 Virtual Zeros" Left

0

Borrow Detect-Next Subtract

signal is a zero N will be  
10      subtracted from Diff.

15      As their was no borrow BO="0" in  
the next round we subtract S-N..

20      Diff=0 1110 and S(4)=1110, Next subtract =0, and we know that on the next  
round there will be a subtract of S-N in Sub1.

H Parameter Calculation - n+1'th (Sth) Round

25

Stored in the Borrow Detector's Next Subtract Flag

↓

30      At the outset, we know |      N = 1011,, n=4.  
that the fourth round |  
subtraction will be |  
successful as the MS bit |  
35      of Diff was "1" |

|      S(4) The contents of the S register

|      after the fourth round

↓

↓

40      ┌ (0) 1110 () ← "No Virtual Zeros" Left

0

Last Round

45      Borrow Detect-Next Subtract  
signal is a zero N will be  
subtracted from Diff.

50

Diff=0 0011 and S(5)=0011, is the remainder - which is the value of H.

55

**Claims**

1) A compact synchronous microelectronic peripheral machine for standard microprocessors with means

for proper clocking and control, having as essential elements: three main subdivided, switched and clocked shift registers, B (13), S (14) and N (15); two only multiplexed serial/parallel multipliers; borrow detectors (35), ancillary subtractors and add rs (27,28,29,30 & 31); delay registers and switching elements; all of which embody a totally integrated concurrent and synchronous process approach to modular multiplication, squaring, and exponentiation.

5        2) A machine according to claim 1 which implements a unique, not straightforward, synchronized hardware derivation of the Montgomery method designed for hardware modular multiplication, squaring, and exponentiation.

10      3) A machine according to claim 1 which executes a derivation of the Montgomery method as a multiplicity of simultaneous serial processes, i.e., multiplications, subtractions, additions, stored delays, and a division by  $2^k$ ; wherein the processes are executed in parallel, as serial processes merge.

15      4) A machine according to claim 1 which executes a derivation of the Montgomery method as a multiplicity of serial processes for modular multiplication, squaring, and exponentiation, precluding the use of wide internal busses.

20      5) A machine according to claim 1 which executes a derivation of the Montgomery method a multiplicity of serial processes for modular multiplication, squaring, and exponentiation and is sufficiently compact to be fabricated on a microchip as specified by the ISO 7816 standards for portable Smart Cards using popular 1 micron technology.

25      6) A machine according to claim 1 which executes a derivation of the Montgomery method as a multiplicity of serial processes for modular multiplication, squaring, and exponentiation, which can be controlled by any microprocessor with an internal bus, without changing its basic architecture, and specifically, without redesigning memories for dual port access, and with relatively small demands of firmware.

25      7) A machine according to claim 1 using the microcontroller to regulate the cascade of  $\rho$  field sequences of squarings and multiplications, wherein the exponent E need not be stored in the MULT block, saving one n bit long shift register, simplifying the MULT control , while demanding little additional microcontroller ROM code.

30      8) A machine according to claim 1, in which, as a result of loading the  $A_i$  register with the squaring multiplicands "on the fly", while the B register is rotating; precludes unloading by the microcontroller of the previous final values of B and/or  $B-N$ , in order to reload the  $A_i$  register with  $B_i$  characters, in order to conserve microcontroller RAM, and eliminate at least n effective clock cycles on each squaring iteration.

35      9) A machine according to claim 1, in which two storage registers and separate serial subtraction operations are deleted from a straightforward implementation of the Montgomery method accomplished by enacting a single serial detection on  $Z/2^k$  minus N, to determine if  $Z/2^k$  is larger than or equal to N and subsequently achieving smaller than N operands with only one serial subtraction.

40      10) A machine according to claim 1, in which the circuit is synchronized in such a quasi-parallel manner so that only two multipliers are used to perform three simultaneous multiplication operations, wherein a silicon implementation serial/parallel multipliers can occupy 40% of the silicon area, and using two, instead of three serial/parallel multipliers, conserving enough silicon to double the number of cells in the remaining two multipliers; said doubling of the multiplier size reduces the process time for a 512 bit multiplication by more than 45%.

45      11) A machine according to claim 1, in which the use of a digital delay circuit, Delay3, (a k bit shift register) to synchronize the serial addition of X with the serial result of the multiplier  $ML_1$ ,  $Y_0 \cdot N$ ; precluding double storage of products or a repetition of a serial/parallel multiplication.

50      12) A machine according to claim 1, in which two digital delay circuits, Delay1 and Delay2, (two k bit shift registers), are used to synchronize three serial multiplications in which N is a factor, i.e.,  $B \cdot A_i$ ,  $X \cdot J_0$ , and  $Y_0 \cdot N$ .

55      13) A machine according to claim 1, in which a digital delay circuit, Delay3, synchronizes the operation of the serial/parallel multiplier,  $ML_2$ , so that it can perform two separate multiplication operations in the process stream, i.e.,  $X \cdot J_0$  and  $Y_0 \cdot N$ .

50      14) A machine according to claim 1, in which the registers S, B, and N can be configured to be either n bits or  $n/2$  bits long; whereby exponentiation over  $n/2$  length modules can be accomplished in little more than one eighth of the effective clock cycles that would be necessary for n bit length exponentiations.

55      15) A machine according to claim 1, which when processed with an original retrieval factor T, can reduce the number of  $\rho$  field multiplication operations on a full RSA signature exponentiation to close to half.

55      16) A machine according to claim 1, assuming necessary precalculations, can execute the complete multiplication process  $\rho(A \cdot B)N$  of an n bit number in only  $m(n+2k)$  effective clock cycles, because of "on the fly" loading of the A register, and "on the fly prediction" of the size of the contents of the S register r, and "on the

"fly" synchronization of the partial operands.

17) A machine according to claim 1, using the same registers in the same machine as us  $\rho$  r Montgomery multiplications, to which a small borrow detect circuit is appended and a simple addition to the controlling mechanism, operating in a second mode calculates the H parameter.

5 18) A machine according to claim 1, wherein every subprocess and process are executed with predetermined numbers of clock cycles, so that a  $\rho$  field multiplication and/or a squaring is performed in known sequences of clock cycles, enabling the embodiment of a simplified control consisting of a cascade of self-exciting counting mechanisms with no internal conditional branches.

10 19) A method for carrying out modular multiplication, wherein the multiplicand A, the multiplier B and the modulus N comprise m characters of k bits each, the multiplier not being greater than the modulus, which comprises the steps of:

1. precalculating a parameter H and at least the least significant character  $J_0$  of another parameter J, as hereinbefore defined, and loading  $J_0$  into a k-bit register;
2. loading the multiplier B and the modulus N into respective registers of n-bit length, wherein  $n=m \cdot k$ ;
3. setting an n-bit long register S to zero; and
4. carrying out an i-iteration m times, wherein i is from zero to m-1, each ith iteration comprising the following operations:

20 a) transferring the ith character  $A_{i-1}$  of the multiplicand A from Ai register means to storing means chosen from among register and latch means;

b) generating the value  $X = S(i-1) + A_{i-1} \cdot B$ , wherein  $S(i-1)$  is the "updated" value of S, as hereinafter defined, by :

I - cycle right shifting of the B register into multiplying means,

II - serially multiplying B by  $A_i$ ,

III - cycle right shifting of the modulus N,

IV - determining the "updated" value of  $S(i-1)$  as the value stored in the S register after the (i-1)th iteration, if the same is not greater N, or if it is greater than N, by serially subtracting N from it and assuming the resulting value as the "updated" value of  $S(i-1)$ ; and

V - cycle right shifting of the register S and serially adding the value of the multiplication  $A_{i-1} \cdot B$  bit by bit to the "updated" value of S;

30 c) multiplying the LS character of  $X(X_0)$  by  $J_0$  and entering the value  $X_0 \cdot J_0 \bmod 2^k$  into register means as  $Y_0$ , while delaying N and X by k clock cycles;

d) calculating the value  $Z = X + Y_0 \cdot N$  by:

I - multiplying  $Y_0$  by N by a delayed right shifting of the N register concurrent with the aforesaid right cycle shifting thereof, and

II - adding X to the value of  $Y_0 \cdot N$ ;

e) ignoring the least significant character of Z and entering the remaining characters into the S register, whereby to enter  $Z/2^k$ , except for the last iteration;

f) comparing  $Z/2^k$  to N bit by bit for the purpose of determining the updated value of  $S(i-1)$  in the manner hereinbefore defined;

40 g) wherein the ith character of the multiplicand  $A_i$  is loaded into the A register means at any time during the aforesaid operations;

5. at the last (mth) iteration, ignoring the least significant character of  $Z/2^k$  and entering the remaining characters into the B register, as the value of  $C \neq \rho(A \cdot B)N$ ;

45 6. repeating the steps 3) to 4), wherein C or C-N, if C is greater than N, is substituted for B and H is substituted for A, whereby to calculate  $P = \rho(C-H)N$ ; and 7) assuming the value of P obtained from the last iteration as the result of the operation  $A \cdot B \bmod N$ .

20) A method according to claim 19, wherein n is chosen from among 256 and 512 or increments of multiples of k, and k is 32.

50 21) A method for performing modular squaring, comprising carrying out modular multiplication according to claim 19, wherein multiplicand and multiplier are the same number.

22) A method for performing modular exponentiation  $D = A^E \bmod N$ , comprising carrying out multiplications and squarings by the method of claim 19.

55 23) A method according to claim 22, comprising the steps of:

1. loading the modulus into the register N;

2. setting the register S to zero;

3. loading the base A to be exponentiated into the register B;

4. storing the exponent E in a computer register;

5. shifting said exponent E left;

6. ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 7 to 8:

5      7. for every one of said bits, regardless of its being 0 or 1, squaring the content of register B by the multiplication method hereinbefore set forth, wherein the successive characters of the base are loaded into register A<sub>i</sub> from register B;

10     8. if and only if the current bit of the exponent E is 1, multiplying, after performing operation 7), the content of register B by the base A; and

10     9. after performing steps 6-8 for all bits of E, storing the result of the last operation as D  $\neq$  A<sup>E</sup> modN in register B.

24) A method for performing modular exponentiation D = A<sup>E</sup> modN, by performing iterations according to claim 19, comprising the steps of:

15     1. loading the modulus into the register N;

15     2. setting the register S to zero;

15     3. loading the base A to be exponentiated into the register B;

15     4. storing the exponent E in a computer register, and a precalculated parameter T, as hereinbefore defined, in the CPU memory;

20     5. shifting said exponent E left;

20     6. ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 7 to 8:

20     7. for every one of said bits, regardless of its being 0 or 1, carrying out operations 4 and 5 of the multiplication method of claim 1, wherein both the multiplicand and the multiplier are the base A, and wherein the successive characters of the base are loaded into register A<sub>i</sub> from register B;

25     8. If and only if the current bit of the exponent E is 1, carrying out, after performing operation 7), operations 4) and 5) of the multiplication method of claim 1, wherein the multiplicand is the content of register B and the multiplier is the base A; and

25     9. after performing steps 7-8 for all bits of E, performing an additional multiplication of the content of

30     register B by the parameter T, and storing the result of the last operation as D  $\neq$  A<sup>E</sup> modN in register B.

25     25) A device for carrying out modular multiplication by the method of claim 19, comprising control means including a CPU and a multiplication circuit which comprises:

35     an n-bit shift register B for the multiplier;

35     an n-bit shift register N for the modulus;

35     an n-bit shift register for the value S as herein defined;

35     a k-bit register A<sub>i</sub> for the multiplicand;

35     k-bit register means for the values J<sub>0</sub> and Y<sub>0</sub> as herein defined;

40     multiplier means for multiplying the content of the B register by that of the A<sub>i</sub> register;

40     additional n-bit multiplier means; and

40     adding, subtracting, multiplexing and delay means.

26) A device according to claim 25, wherein all connections between the n-bit registers and the remaining components and between components none of which is a latch, are 1-bit connections.

45     27) A method for performing modular exponentiation of D = A<sup>E</sup> mod N, which comprises the steps of:

45     1. storing the exponent E in a computer register;

45     2. loading the modulus into the aforesaid register N;

45     3. setting the aforesaid register S to zero;

50     4. performing a multiplication operation, by the method of application No. 104753, of A $\leftarrow$  P (A·H)<sub>N</sub> while A is the operand to be exponentiated, and H is a precalculated parameter as defined before;

50     5. loading A\* into the base register B;

50     6. performing a squaring operation of the contents of register B;

50     7. shifting said exponent E left;

55     8. ignoring all the zero bits thereof which precede the first 1 bit and ignoring the first 1 bit of said exponent E, and for all the following bits performing the operations 9 to 10;

55     9. for every one of said E bits, regardless of their being 0 or 1, carrying out operations 4 and 5 of the squaring method hereinbefore set forth, wherein both the multiplicand and the multiplier originate from the B register, and wherein the successive characters of the Montgomery multiplier are loaded into

register A<sub>i</sub> from register B;

10. If and only if the current bit of the exponent E is 1, carrying ut, after performing operation 9, operations 4 and 5 of the multiplication method hereinbefore set forth, wherein the multiplicand is the content of register B and the multiplier is the base A\*; and

5        11. after performing steps 8-10 for all bits of E, performing an additional multiplication of register B by the original base A and then storing the result of the last operation as D  $\not\equiv$  A<sup>E</sup> mod N in register B.

10        28) A method for carrying out conventional multiplication of two numbers whose average significant length is n/2 bits, comprising carrying out modular multiplication of said numbers by the multiplication process of Claim 19, wherein the modulus, N, is an n-bit number consisting of all "1's" (ffff...fff), equating J<sub>0</sub> to 1, and loading the multiplicand in B and manipulating A as in said multiplication process of Claim-1; N can be all ones either by means of preloading register N with all ones or by setting the multiplexer which outputs N to output a series of "hard" ones.

15        29) A method according to claim 27 & 28, carried out by means of the device of any one of the preceding claims.

15        30) A method for performing modular exponentiation of D = A<sup>E</sup> mod N, substantially as described.

31) A method for carrying out conventional multiplication of n/2-bit numbers, substantially as described.

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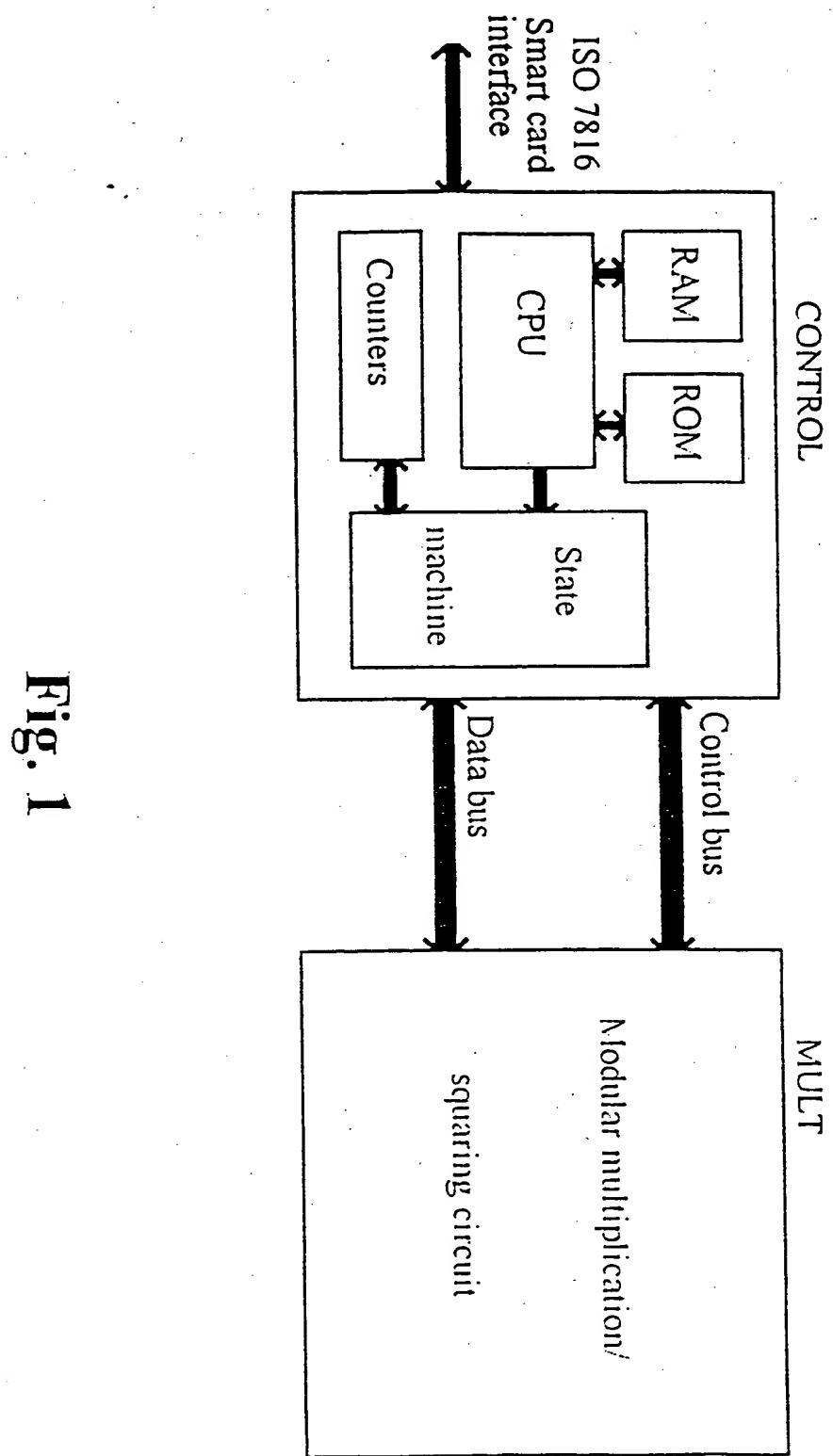
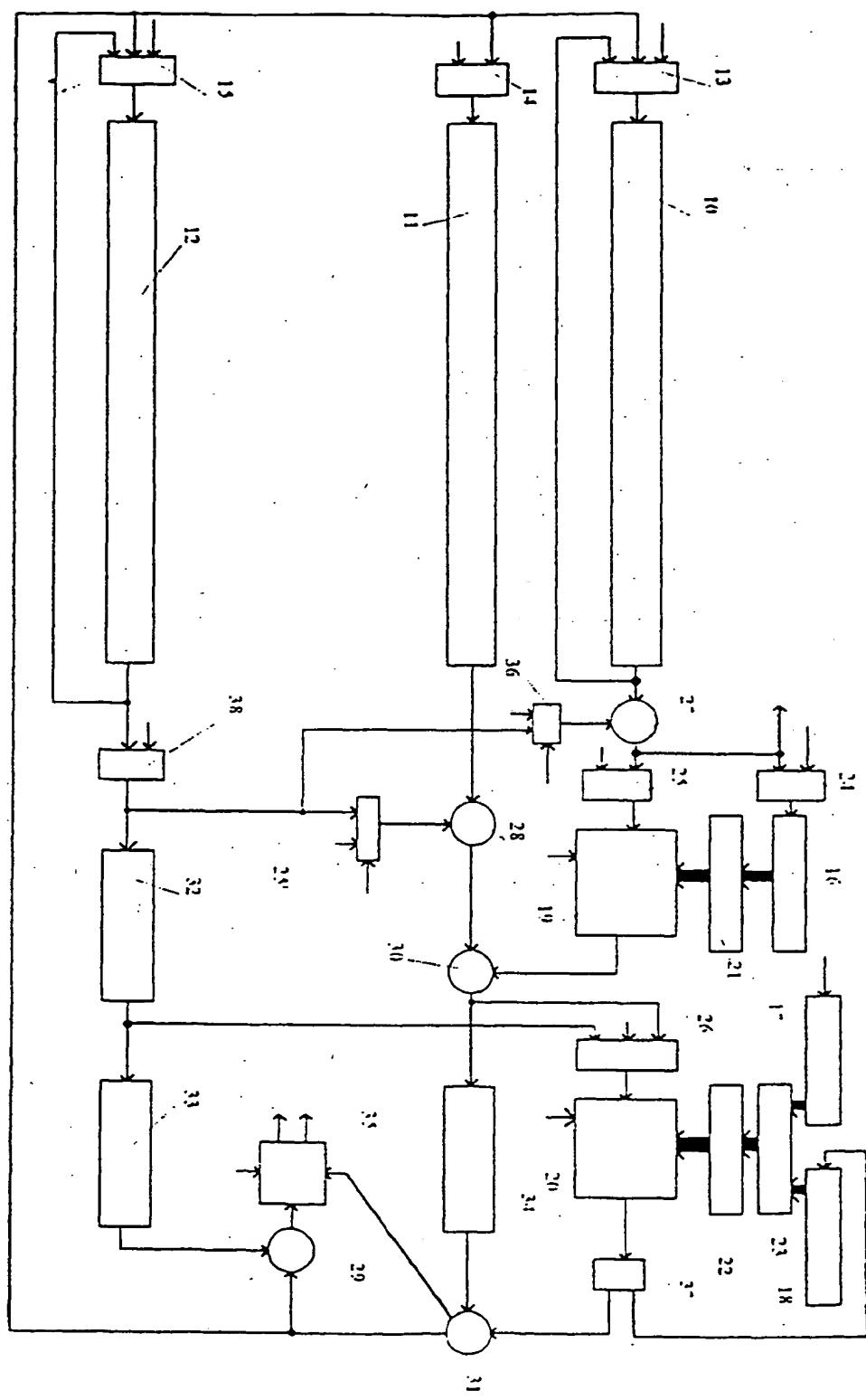
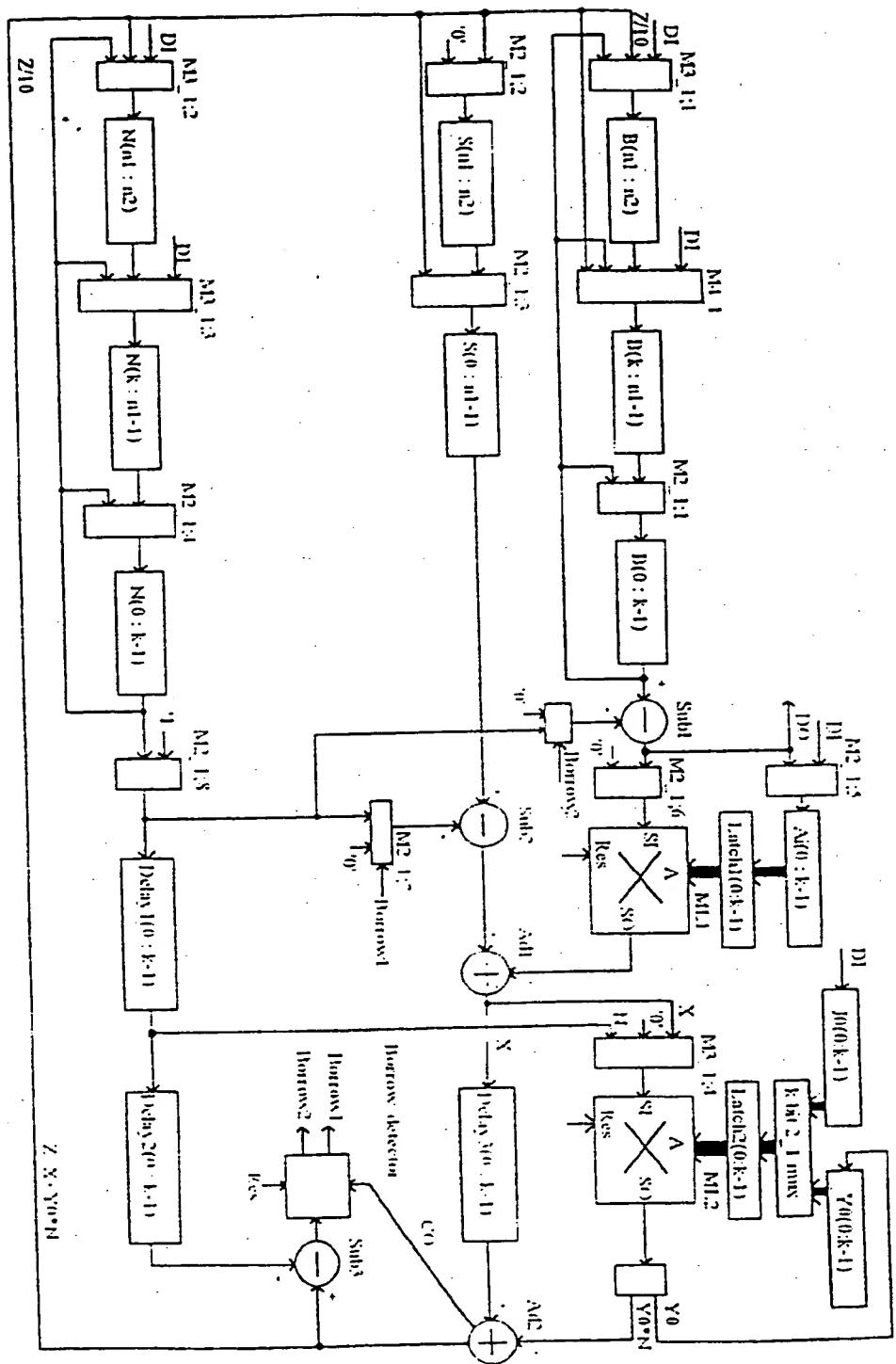


Fig. 1

Fig. 2





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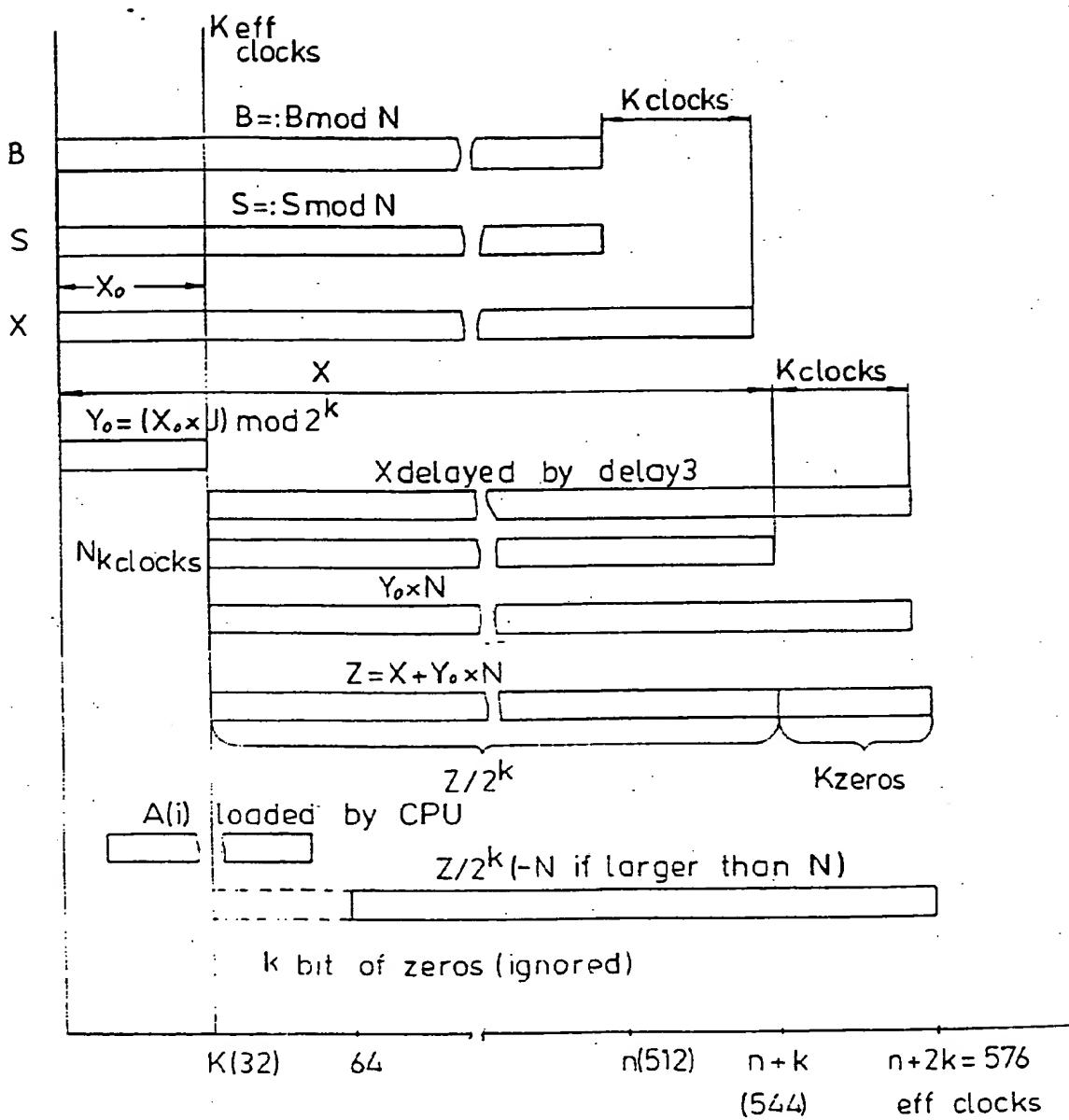


Fig. 4

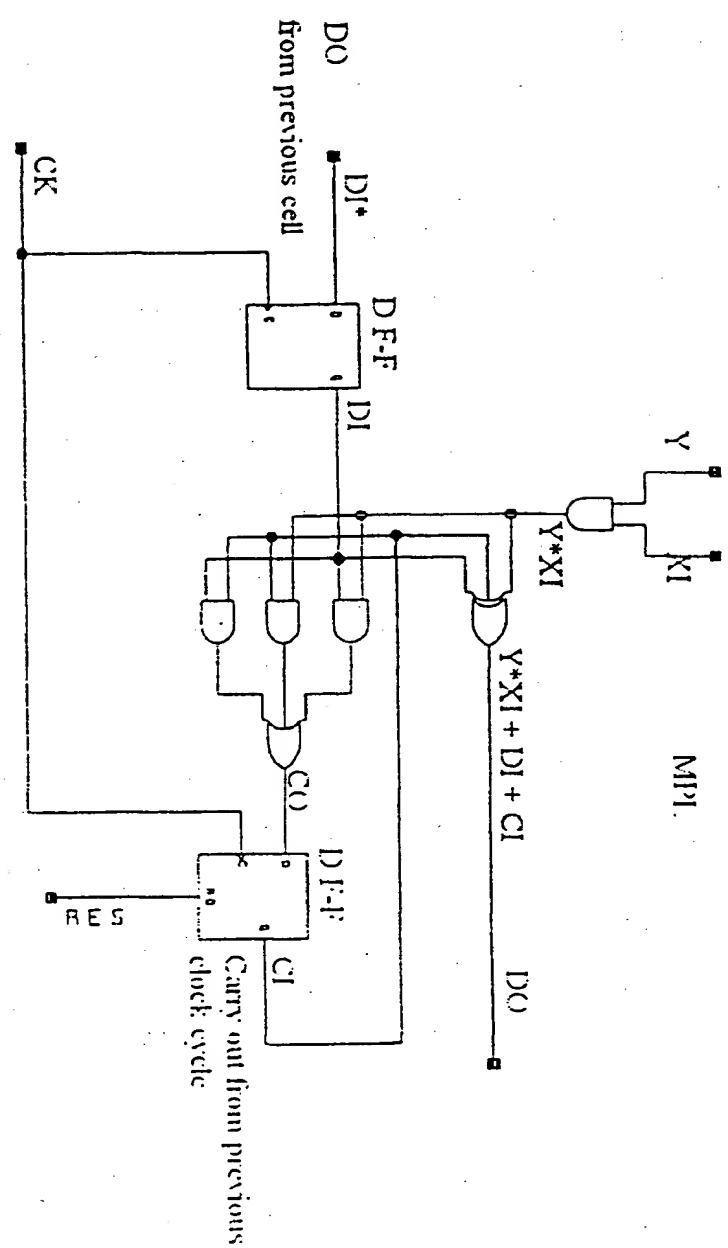


Fig. 5

Fig. 6

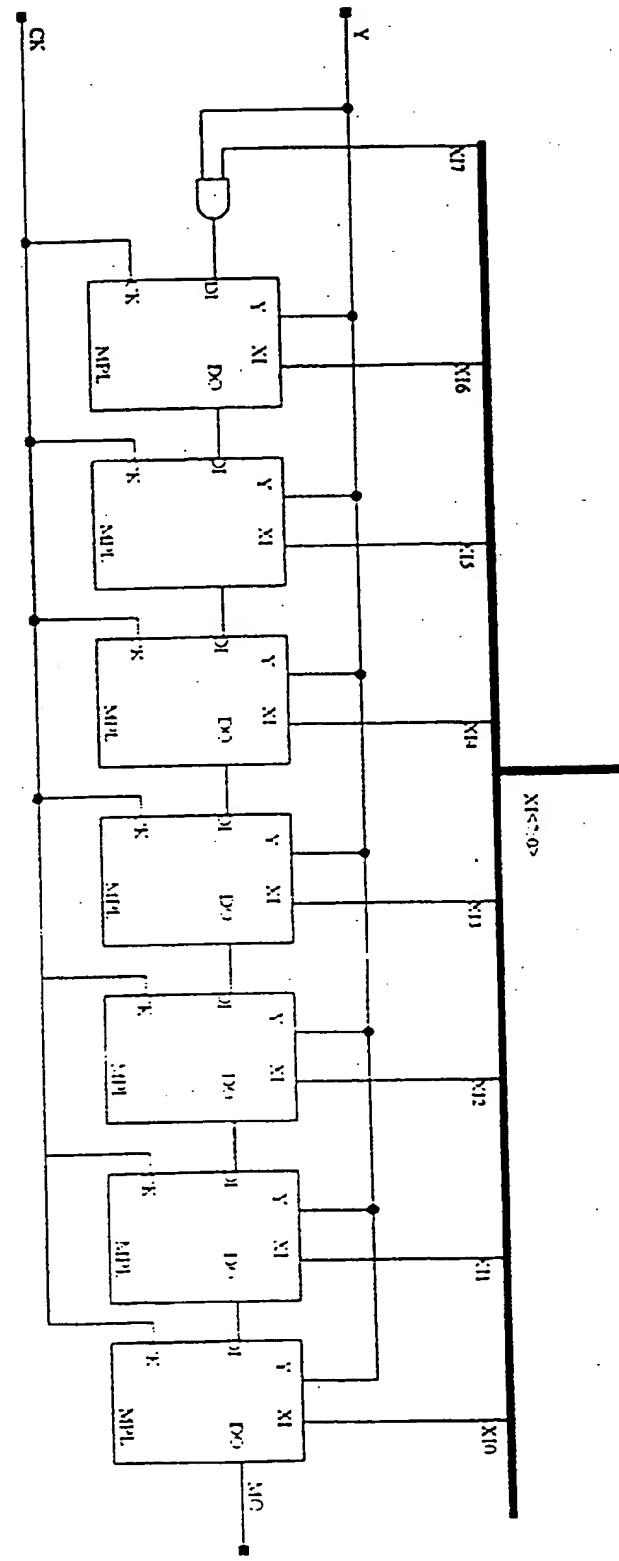
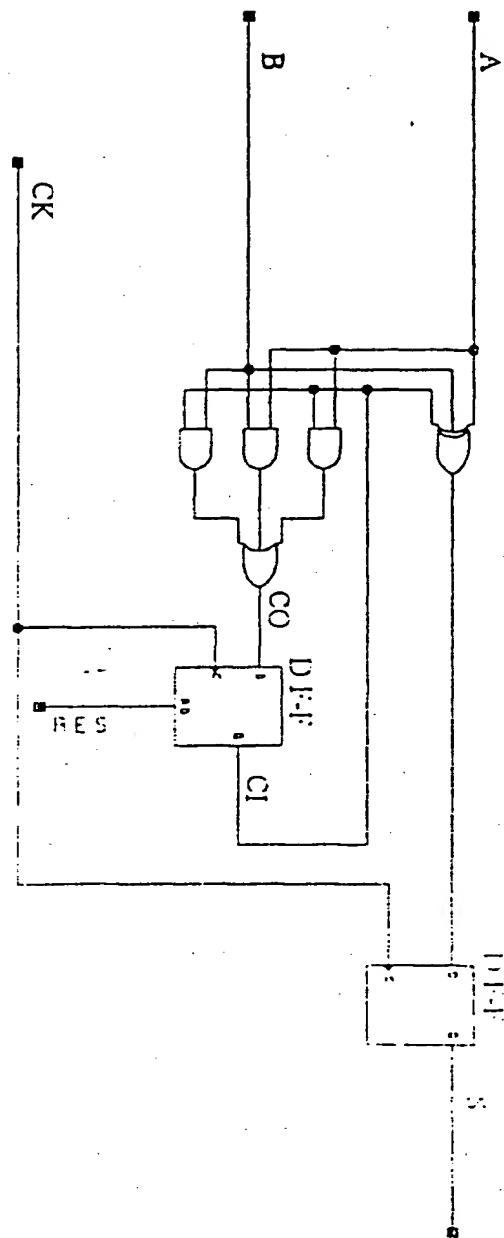


Fig. 7



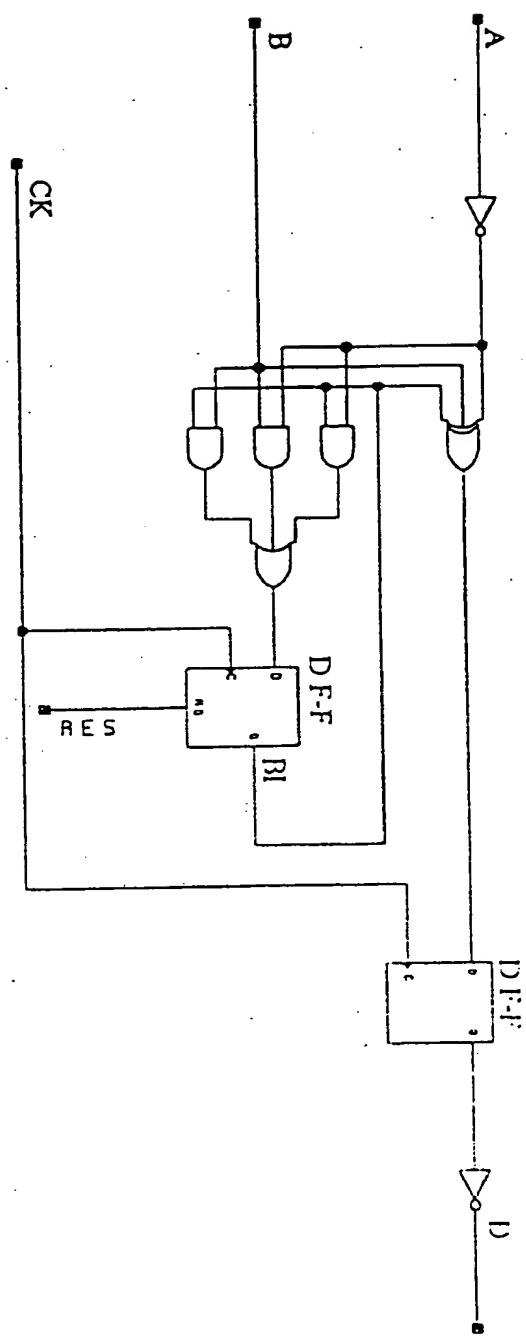


Fig. 8

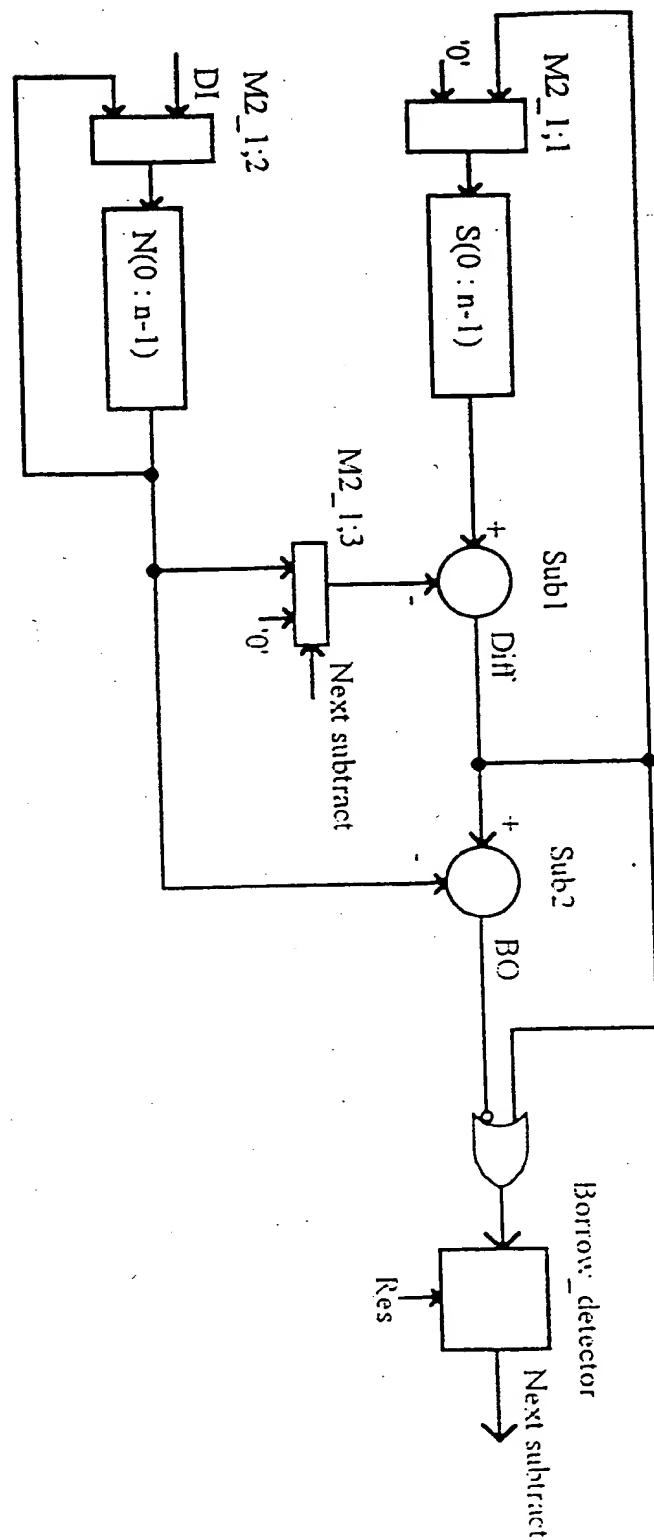


Fig. 9



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European Patent Office  
Office européen des brevets



(11) Publication number: 0 601 907 A3

(12)

## EUROPEAN PATENT APPLICATION

(21) Application number: 93402865.5

(22) Date of filing: 26.11.93

(30) Priority: 30.11.92 IL 10392192  
18.02.93 IL 10475393  
06.09.93 IL 10592393

(43) Date of publication of application:  
15.06.94 Bulletin 94/24

(44) Designated Contracting States:  
AT CH DE DK FR GB IT LI NL SE

(88) Date of deferred publication of search report:  
23.11.94 Bulletin 94/47

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(51) Int. Cl. 5: G06F 7/72

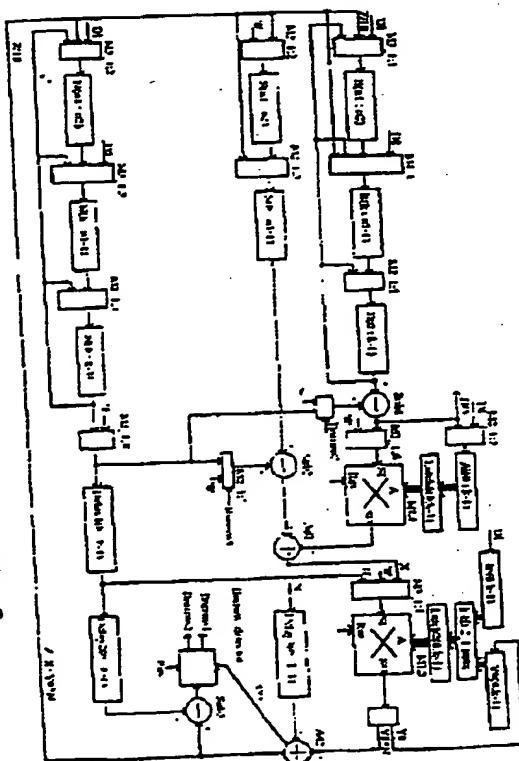
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(54) A compact microelectronic device for performing modular multiplication and exponentiation over large numbers.

(55) A compact synchronous microelectronic peripheral machine for standard microprocessors with means for proper clocking and control, has as essential elements: three main subdivided, switched and clocked shift registers, B, S, and N; two only multiplexed serial/parallel multipliers; borrow detectors, ancillary subtractors and adders; delay registers and switching elements; all of which embody a totally integrated concurrent and synchronous process approach to modular multiplication, squaring, and exponentiation. A method for carrying out modular multiplication, wherein the multiplicand A, the multiplier B and the modul, N, comprise m characters of k bits each, the multiplier not being greater than the modulus, is also described, wherein the multiplicand can be much larger than the modulus. It is demonstrated how the device can be used as a large number processor in the normal field of numbers.

Fig. 3



EP 0 601 907 A3



DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.)
A	PROCEEDINGS OF ADVANCES IN CRYPTOLOGY - CRYPTO '89, 20-24 AUGUST 1989, SANTA BARBARA, CA., USA. IN: LECTURE NOTES IN COMPUTER SCIENCE, VOL. 435, G. BRASSARD (EDITOR), 1990, SPRINGER-VERLAG, NEW YORK pages 371 - 386, XPO00135672 P. FINDLAY 'Modular Exponentiation Using Recursive Sums of Residues' * the whole document *	1-31	G06F7/72
A	US-A-5 101 431 (S. EVEN) * the whole document *	1-31	
A	EP-A-0 502 782 (FORTRESS U&T (2000)) * figures 1,15-17 *	1-31	
D,A	PROCEEDINGS OF ADVANCES IN CRYPTOLOGY - EUROCRYPT '90, 21-24 MAY 1990, AARHUS, DENMARK. IN: LECTURE NOTES IN COMPUTER SCIENCE, VOL. 473, I. DAMGARD (EDITOR). 1991, SPRINGER-VERLAG, BERLIN. pages 230 - 244 S. DUSSE ET AL. 'A Cryptographic Library for the Motorola DSP56000' * the whole document *	1-31	
P,A	EP-A-0 531 158 (CANON) * the whole document *	1-31	G06F
The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
THE HAGUE		29 September 1994	Verhoof, P
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